



CAYUGA ONONDAGA  
**BOCES**  
DATA PRIVACY AGREEMENT

This Data Privacy Agreement ("DPA") is by and between the Cayuga Onondaga BOCES (the "BOCES") and Thrive By 5 (the "Contractor"), collectively referred to as the "Parties."

**Section 1: Definitions**

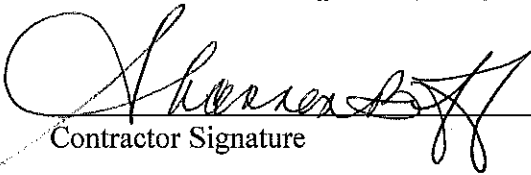
1. **"Breach"** means the unauthorized acquisition, access, use, or disclosure of student data and/or teacher or principal data by or to a person not authorized to acquire, access, use, or receive the student data and/or teacher or principal data.
2. **"Commercial Purpose" or "Marketing Purpose"** means the sale of student data; or its use or disclosure for purposes of receiving remuneration, whether directly or indirectly; the use of student data for advertising purposes, or to develop, improve or market products or services to students.
3. **"Disclose" or "Disclosure"** means to permit access to, or the release, transfer, or other communication of Personally Identifiable Information (as defined below) by any means, including oral, written, or electronic, whether intended or unintended.
4. **"Education Records"** means an education record as defined in the Family Educational Rights and Privacy Act and its implementing regulations, 20 U.S.C. 1232g and 34 C.F.R. Part 99, respectively.
5. **"Eligible Student"** means a student who is eighteen years or older.
6. **"Encryption"** means methods of rendering Personally Identifiable Information unusable, unreadable, or indecipherable to unauthorized persons through the use of a technology or methodology specified or permitted by the Secretary of the United States department of health and human services in guidance issued under Section 13402(H)(2) of Public Law 111-5.
7. **"Parent"** means a parent, legal guardian, or person in parental relation to a student.
8. **"Personally Identifiable Information,"** as applied to student data, means personally identifiable information as defined in section 99.3 of Title 34 of the Code of Federal Regulations implementing the Family Educational Rights and Privacy Act, 20 U.S.C 1232g, and as applied to teacher and principal data, means personally identifiable information as such term is defined in N.Y. Education Law §3012-c (10).
9. **"Release"** shall have the same meaning as Disclosure or Disclose.
10. **"Student"** means any person attending or seeking to enroll in an educational agency.
11. **"Student data"** means Personally Identifiable Information from the student records of an educational agency. For purposes of this Schedule B, "student data" includes information made accessible to Contractor by BOCES, BOCES officers, BOCES employees, BOCES agents, BOCES students, and/or the officers, employees, agents, and/or students of educational agencies with whom BOCES contracts.
12. **"Teacher or principal data" or "APPR"** means personally identifiable information from the records of an educational agency relating to the annual professional performance reviews of classroom teachers or principals that is confidential and not subject to release under the provisions of N.Y. Education Law §§ 3012-c and 3012-d. For purposes of this Schedule, "student data" includes information made accessible to Contractor by BOCES, BOCES officers, BOCES employees, BOCES agents, BOCES students, and/or the officers, employees, agents, and/or students of educational agencies that contract with BOCES in order to access Contractor's services.
13. **"Unauthorized Disclosure" or "Unauthorized Release"** means any disclosure or release not permitted by federal or State statute or regulation, any lawful contract or written agreement, or that does not respond to a lawful order of a court or tribunal or other lawful order.

## **Section 2: Data Privacy**

1. **Compliance with Law:** The Contractor's storage, use and transmission of student and teacher/principal PII shall be consistent with this DPA and applicable state and federal laws and regulations that protect the confidentiality of PII.
2. **Authorized Use:** The Contractor shall not sell PII nor use or disclose it for any marketing or commercial purpose or permit another party to do so. The Contractor shall not use PII for any purpose other than the provision of the services described in this DPA only for the term of the engagement of said services.
3. **Data Security/Encryption:** The Contractor shall maintain the following administrative, operational and technical safeguards and practices in place to protect PII, which will align with the NIST Cybersecurity Framework, including:
  - a. PII data will be protected using encryption while in motion and at rest by hard drive encryption, TLS, AES-256, and HTTPS web encryption depending on the secure system used to transport or store the data.
  - b. PII will be stored in a manner as to protect its security and to mitigate any security risks. Specifically, all student data and/or teacher or principal data will be stored by saving it to a document management system (highly secure with all activity logged). The security of this data will be ensured by encryption and user access control.
  - c. Physical access to PII by individuals or entities described in paragraph 3 above shall be controlled as follows: All access to sensitive data will be controlled by and limited to the team working on the contract subject matter and all access will be ensured by encryption and user access control.
4. **Employees and Subcontractors:** The Contractor shall ensure that PII is not disclosed to employees, subcontractors, or other persons or entities unless they have a legitimate educational interest and only for purposes necessary to provide services under the Contract. The Contractor agrees that it will not utilize any subcontractors or outside entities to provide services outside the Contract and shall not disclose any PII other than as required in this DPA. Contractor shall ensure that all employees and subcontractors comply with the terms of this DPA and are provided with any training on all applicable state and federal laws and regulations that protect the confidentiality of PII before being provided access to PII. If disclosure of PII is required by law or court order, the Contractor shall notify the BOCES and New York State Education Department no later than the time the PII disclosure is required unless such notice is expressly prohibited by law or the court order.
5. **Data Return/Destruction:** Upon expiration of the contract, all PII will be returned to the BOCES in a manner and format agreed upon by the Parties, and/or destroyed and purged from the Contractor's systems in a manner that does not allow it to be retrieved or read. Contractor acknowledges it is prohibited from retaining PII Or having continued access to PII beyond the term of this DPA.
6. **Parent/Eligible Student Access:** Parents and Eligible Students have the right to inspect and review their or their child's PII stored or maintained by the contractor. Contractor shall respond within thirty (30) calendar days to the BOCES requests for access to Student Data so the BOCES can facilitate such review by a Parent or Eligible Student, and facilitate corrections, as necessary. If a Parent or Eligible Student contacts Contractor directly to review any of the Student Data held

by Contractor pursuant to the Service Agreement, Contractor shall promptly notify the BOCES and refer the Parent or Eligible Student to the BOCES.

7. **Breach:** The Contractor shall take the following steps to identify breaches or unauthorized releases of PII and notify the BOCES upon learning of an unauthorized release of PII:
  - a. Provide prompt notification to the BOCES no later than seven (7) calendar days from the date of discovery of a breach or unauthorized release of PII. The Contractor shall provide notification to the BOCES' Data Privacy Officer, by phone at (315) 255-7670 and by email at [dataprivacyofficer@cayboces.org](mailto:dataprivacyofficer@cayboces.org)
  - b. The Contractor shall cooperate with the BOCES and law enforcement to protect the integrity of any investigation of any breach or unauthorized release of PII.
  - c. Where a breach or unauthorized release is attributed to the Contractor, the Contractor shall pay for or promptly reimburse the BOCES for the full cost of the notification.
8. **Termination:** The obligations of this DPA shall continue and shall not terminate for as long as the Contractor or its sub-contractors retain PII or retain access to PII.
9. **Bill of Rights for Data Privacy and Security:** As required by Education Law Section 2-d, the Parents Bill of Rights for Data Privacy and Security and the supplemental information for the Service Agreement are included as Exhibit A and Exhibit B, respectively, and incorporated into this DPA. Contractor shall complete Exhibit B and append it to this DPA. Pursuant to Education Law Section 2-d, the BOCES is required to post the completed Exhibit B on its website.
10. A complete list of all student data elements collected by the State is available for public review at <http://www.p12.nysed.gov/irs/sirs/documentation/NYSEDstudentData.xlsx>, or parents may obtain a copy of this list by writing to the Office of Information and Reporting Services, New York State Education Department, Room 863 EBA, 89 Washington Avenue, Albany, NY 12234.
11. Parents have the right to file complaints with the BOCES about possible privacy breaches of student data by the BOCES' third-party contractors or their employees, officers, or assignees, or with the NYSED. Complaints to the NYSED should be directed in writing to the Chief Privacy Officer, New York State Education Department, 89 Washington Avenue, Albany, NY 12234, email to [CPO@mail.nysed.gov](mailto:CPO@mail.nysed.gov).



Contractor Signature

Shannan Buff

Printed Name

Program Administrator

Title

6/10/24

Date

## **EXHIBIT A - Education Law §2-d Bill of Rights for Data Privacy and Security**

Parents (including legal guardians or persons in parental relationships) and Eligible Students (students 18 years and older) can expect the following:

1. A student's personally identifiable information (PII) cannot be sold or released for any Commercial or Marketing purpose. PII, as defined by Education Law § 2-d and the Family Educational Rights and Privacy Act ("FERPA"), includes direct identifiers such as a student's name or identification number, parent's name, or address; and indirect identifiers such as a student's date of birth, which when linked to or combined with other information can be used to distinguish or trace a student's identity. Please see FERPA's regulations at 34 CFR 99.3 for a more complete definition.
2. The right to inspect and review the complete contents of the student's education record stored or maintained by an educational agency. This right may not apply to Parents of an Eligible Student.
3. State and federal laws such as Education Law § 2-d; the Commissioner of Education's Regulations at 8 NYCRR Part 121, FERPA at 12 U.S.C. 1232g (34 CFR Part 99); Children's Online Privacy Protection Act ("COPPA") at 15 U.S.C. 6501-6502 (16 CFR Part 312); Protection of Pupil Rights Amendment ("PPRA") at 20 U.S.C. 1232h (34 CFR Part 98); and the Individuals with Disabilities Education Act ("IDEA") at 20 U.S.C. 1400 et seq. (34 CFR Part 300); protect the confidentiality of a student's identifiable information.
4. Safeguards associated with industry standards and best practices including, but not limited to, encryption, firewalls and password protection must be in place when student PII is stored or transferred.
5. A complete list of all student data elements collected by NYSED is available at [www.nysed.gov/data-privacy-security/student-data-inventory](http://www.nysed.gov/data-privacy-security/student-data-inventory) and by writing to: Chief Privacy Officer, New York State Education Department, 89 Washington Avenue, Albany, NY 12234.
6. The right to have complaints about possible breaches and unauthorized disclosures of PII addressed. (i) Complaints should be submitted to the BOCES' Data Privacy Officer by email at [dataprivacyofficer@cayboces.org](mailto:dataprivacyofficer@cayboces.org) (ii) Complaints may also be submitted to the NYS Education Department at [www.nysed.gov/data-privacy-security/report-improper-disclosure](http://www.nysed.gov/data-privacy-security/report-improper-disclosure), by mail to: Chief Privacy Officer, New York State Education Department, 89 Washington Avenue, Albany, NY 12234; by email to [privacy@nysed.gov](mailto:privacy@nysed.gov); or by telephone at 518-474-0937.
7. To be notified in accordance with applicable laws and regulations if a breach or unauthorized release of PII occurs.
8. Educational agency workers that handle PII will receive training on applicable state and federal laws, policies, and safeguards associated with industry standards and best practices that protect PII.
9. Educational agency contracts with vendors that receive PII will address statutory and regulatory data privacy and security requirements.

## EXHIBIT B

### Supplemental Information to Parents Bill of Rights for Data Privacy and Security

Pursuant to Education Law § 2-d and Section 121.3 of the Commissioner's Regulations, the BOCES is required to post information to its website about its contracts with third-party contractors that will receive Personally Identifiable Information (PII).

<b>Name of Contractor</b>	Thrive By 5
<b>Name(s) of Covered Applications</b>	All products maintained by the contractor
<b>Type of PII that Contractor will receive/access</b>	Student PII: <input checked="" type="checkbox"/> Collected <input type="checkbox"/> Not Collected APPR Data: <input type="checkbox"/> Collected <input checked="" type="checkbox"/> Not Collected
<b>Contract Term</b>	Contract Start Date <u>1/1/2024</u> Contract End Date <u>6/30/2026</u>
<b>Subcontractor Written Agreement Requirement</b>	Contractor will not utilize subcontractors without a written contract that requires the subcontractors to adhere to, at a minimum, materially similar data protection obligations imposed on the contractor by state and federal laws and regulations, and the Contract. (check applicable option) <input checked="" type="checkbox"/> Contractor will not utilize subcontractors. <input type="checkbox"/> Contractor will utilize subcontractors.
<b>Data Transition and Secure Destruction</b>	Upon expiration or termination of the Contract, Contractor shall: • Securely transfer data to BOCES, or a successor contractor at the BOCES option and written discretion, in a format agreed to by the parties. • Securely delete and destroy data.

<b>Challenges to Data Accuracy</b>	<p>Parents, teachers or principals who seek to challenge the accuracy of PII will do so by contacting the BOCES. If a correction to data is deemed necessary, the BOCES will notify Contractor. Contractor agrees to facilitate such corrections within 21 days of receiving the BOCES' written request.</p>
<b>Secure Storage and Data Security</b>	<p>Any Protected Data Vendor receives will be stored on systems maintained by Vendor, or by a subcontractor under the direct control of Vendor, in a secure data center facility located within the United States. The measures that Vendor will take to protect Protected Data include adoption of technologies, safeguards and practices that align with the NIST Cybersecurity Framework and industry best practices including, but not necessarily limited to, disk encryption, file encryption, firewalls, and password protection.</p>
<b>Encryption</b>	<p>Data will be encrypted while in motion and at rest.</p>