

NEW YORK STATE  
DATA PRIVACY AGREEMENT\*  
*In Accordance with Section 2-d of the New York Education Law*

**Dutchess Board of Cooperative Educational Services**

**and**

**Certiport, a business of NCS Pearson, Inc.**

This Data Privacy Agreement ("DPA") is by and between the **Dutchess Board of Cooperative Educational Services, aka Dutchess BOCES** (the "District"), an Educational Agency, and Certiport, a business of NCS Pearson, Inc. ("Contractor"), collectively, the "Parties".

**ARTICLE I: DEFINITIONS**

As used in this DPA, the following terms shall have the following meanings:

1. **Breach** (8 NYCRR §121.1[a]): The unauthorized acquisition, access, use, or disclosure of Personally Identifiable Information in a manner not permitted by State and federal laws, rules and regulations, or in a manner which compromises its security or privacy, or by or to a person not authorized to acquire, access, use, or receive it, or a Breach of Contractor's security that leads to the accidental or unlawful destruction, loss, alteration, unauthorized disclosure of, or access to Personally Identifiable Information.
2. **Commercial or Marketing Purpose** (8 NYCRR §121.1[c]): means the sale, use or disclosure of Personally Identifiable Information for purposes of receiving remuneration, whether directly or indirectly; the sale, use or disclosure of Personally Identifiable Information for advertising purposes; or the sale, use or disclosure of Personally Identifiable Information to develop, improve or market products or services to students.
3. **Disclose** (8 NYCRR §121.1[e]): To permit access to, or the release, transfer, or other communication of personally identifiable information by any means, including oral, written or electronic, whether intended or unintended.
4. **Education Record** (8 NYCRR §121.1[f]): An education record as defined in the Family Educational Rights and Privacy Act and its implementing regulations, 20 U.S.C. 1232g and 34 C.F.R. Part 99, respectively.
5. **Educational Agency** (8 NYCRR §121.1[g]): A school district, board of cooperative educational services (BOCES), school, or the Department.
6. **Encrypt or Encryption** (8 NYCRR §121.1[i]): As defined in the Health Insurance Portability and Accountability Act of 1996 (HIPAA) Security Rule at 45 CFR 164.304, means the use of an algorithmic process to transform Personally Identifiable Information into an unusable,

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\*This Data Privacy Agreement has been adapted from the NYSED Model Data Privacy Agreement. Regulation citations have been added for reference.

unreadable, or indecipherable form in which there is a low probability of assigning meaning without use of a confidential process or key.

7. **Examination Data:** Any and all information collected by Contractor during the registration and examination of a Student that is done with the consent of an eligible Student, or, if the Student is under the age of 18, with the consent of a Student's Parent.
8. **NIST Cybersecurity Framework** (8 NYCRR §121.1[k]): The U.S. Department of Commerce National Institute for Standards and Technology Framework for Improving Critical Infrastructure Cybersecurity Version 1.1.
9. **Parent** (8 NYCRR §121.1[l]): A parent, legal guardian or person in parental relation to the Student.
10. **Personally Identifiable Information (PII)** (8 NYCRR §121.1[m]): Means personally identifiable information as defined in section 99.3 of Title 34 of the Code of Federal Regulations implementing the Family Educational Rights and Privacy Act, 20 U.S.C 1232g, and Teacher or Principal APPR Data, as defined below.
11. **Release** (8 NYCRR §121.1[n]): Shall have the same meaning as Disclose.
12. **School** (8 NYCRR §121.1[o]): Any public elementary or secondary school including a charter school, universal pre-kindergarten program authorized pursuant to Education Law § 3602-e, an approved provider of preschool special education, any other publicly funded pre-kindergarten program, a school serving children in a special act school district as defined in Education Law § 4001, an approved private school for the education of students with disabilities, a State-supported school subject to the provisions of Article 85 of the Education Law, or a State-operated school subject to the provisions of Articles 87 or 88 of the Education Law.
13. **Student** (8 NYCRR §121.1[p]): Any person attending or seeking to enroll in an Educational Agency.
14. **Student Data** (8 NYCRR §121.1[q]): Personally identifiable information from student records of an educational agency. Notwithstanding anything herein, Student Data does not include Examination Data.
15. **Subcontractor:** Contractor's non-employee agents, consultants and/or subcontractors engaged in the provision of services pursuant to the Service Agreement.
16. **Teacher or Principal APPR Data** (8 NYCRR §121.1[r]): Personally Identifiable Information from the records of an Educational Agency relating to the annual professional performance reviews of classroom teachers or principals that is confidential and not subject to release under the provisions of Education Law §§ 3012-c and 3012-d.

## **ARTICLE II: PRIVACY AND SECURITY OF STUDENT DATA**

### **1. Compliance with Law** (8 NYCRR §121.6).

In order for Contractor to provide certain services ("Services") to the District pursuant to the Certiport Authorized Test Center Agreement dated August 29, 2018 ("Service Agreement"); Contractor may receive Student Data regulated by several New York and federal laws and regulations, among them,

the Family Educational Rights and Privacy Act ("FERPA") at 12 U.S.C. 1232g (34 CFR Part 99); Children's Online Privacy Protection Act ("COPPA") at 15 U.S.C. 6501-6502 (16 CFR Part 312); Protection of Pupil Rights Amendment ("PPRA") at 20 U.S.C. 1232h (34 CFR Part 98); the Individuals with Disabilities Education Act ("IDEA") at 20 U.S.C. 1400 et seq. (34 CFR Part 300); New York Education Law Section 2-d; and the Commissioner of Education's Regulations at 8 NYCRR Part 121. The Parties enter this DPA to address the requirements of New York law. Contractor agrees to maintain the confidentiality and security of Student Data in accordance with applicable New York, federal and local laws, rules and regulations.

**2. Authorized Use** (8 NYCRR §121.9).

Contractor has no property or licensing rights or claims of ownership to Student Data, and Contractor must not use Student Data for any purpose other than to provide the Services set forth in the Service Agreement. Neither the Services provided nor the manner in which such Services are provided shall violate New York law.

**3. Data Security and Privacy Plan** (8 NYCRR §121.6).

Contractor shall adopt and maintain administrative, technical and physical safeguards, measures and controls to manage privacy and security risks and protect Student Data in a manner that complies with New York State, federal and local laws and regulations and the District's policies. Education Law Section 2-d requires that Contractor provide the District with a Data Privacy and Security Plan that outlines such safeguards, measures and controls including how the Contractor will implement all applicable state, federal and local data security and privacy requirements. Contractor's Data Security and Privacy Plan is attached to this DPA as Exhibit C.

**4. EA's Data Security and Privacy Policy** (8 NYCRR §121.6).

State law and regulation requires the District to adopt a data security and privacy policy that complies with Part 121 of the Regulations of the Commissioner of Education and aligns with the NIST Cyber Security Framework. Contractor shall comply with the District's data security and privacy policy and other applicable policies to the extent that such policies are consistent with Contractor's data security and privacy policies. Contractor's data security and privacy policies are outlined in Exhibit C below, and nonconfidential summaries of such policies can be provided upon request.

**5. Right of Review and Audit.**

Upon request by the District, Contractor shall provide the District with copies of its policies and related procedures that pertain to the protection of Student Data. It may be made available in a form that does not violate Contractor's own information security policies, confidentiality obligations, and applicable laws. In addition, Contractor may be required to undergo an audit of its privacy and security safeguards, measures and controls as it pertains to alignment with the requirements of New York State laws and regulations, the District's policies applicable to Contractor, and alignment with the NIST Cybersecurity Framework performed by an independent third party at Contractor's expense, and provide the audit report to the District. Contractor may provide the District with a recent industry standard independent audit report on Contractor's privacy and security practices as an alternative to undergoing an audit.

**6. Contractor's Employees and Subcontractors** (8 NYCRR §121.6).

- (a) Contractor shall only disclose Student Data to Contractor's employees and subcontractors who need to know the Student Data in order to provide the Services and the disclosure of Student Data shall be limited to the extent necessary to provide such Services. Contractor shall ensure that all such employees and subcontractors comply with the terms of this DPA.
- (b) Contractor must ensure that each subcontractor performing functions pursuant to the Service Agreement where the subcontractor will receive or have access to Student Data is contractually bound by a written agreement that includes confidentiality and data security obligations equivalent to, consistent with, and no less protective than, those found in this DPA.
- (c) Contractor shall examine the data security and privacy measures of its subcontractors prior to utilizing the subcontractor. If at any point a subcontractor fails to materially comply with the requirements of this DPA, Contractor shall: notify the District and remove such subcontractor's access to Student Data; and, as applicable, retrieve all Student Data received or stored by such subcontractor and/or ensure that Student Data has been securely deleted and destroyed in accordance with this DPA. In the event there is an incident in which the subcontractor compromises Student Data, Contractor shall follow the Data Breach reporting requirements set forth herein.
- (d) Contractor shall take full responsibility for the acts and omissions of its employees and subcontractors.
- (e) Contractor must not disclose Student Data to any other party unless such disclosure is required by statute, court order or subpoena, and the Contractor makes a reasonable effort to notify the EA of the court order or subpoena in advance of compliance but in any case, provides notice to the EA no later than the time the Student Data is disclosed, unless such disclosure to the EA is expressly prohibited by the statute, court order or subpoena.

**7. Training** (*8 NYCRR §121.7*).

Contractor shall ensure that all its employees and Subcontractors who have access to Student Data have received or will receive training on the federal and state laws governing confidentiality of such data prior to receiving access.

**8. Termination**

The obligations of this DPA shall continue and shall not terminate for as long as the Contractor or its sub-contractors retain Student Data or retain access to Student Data.

**9. Data Return and Destruction of Data** (*8 NYCRR §121.6*).

- (a) Protecting Student Data from unauthorized access and disclosure is of the utmost importance to the District, and Contractor agrees that it is prohibited from retaining Student Data or continued access to Student Data or any copy, summary or extract of Student Data, on any storage medium (including, without limitation, in secure data centers and/or cloud-based facilities) whatsoever beyond the period of providing

Services to the District, unless such retention is either expressly authorized for a prescribed period by the Service Agreement or other written agreement between the Parties, or expressly requested by the District for purposes of facilitating the transfer of Student Data to the District or expressly required by law. As applicable, upon expiration or termination of the Service Agreement, Contractor shall transfer Student Data, in a format agreed to by the Parties to the District.

- (b) If applicable, once the transfer of Student Data has been accomplished in accordance with the District's written election to do so, Contractor agrees to return or destroy all Student Data when the purpose that necessitated its receipt by Contractor has been completed. Thereafter, with regard to all Student Data (including without limitation, all hard copies, archived copies, electronic versions, electronic imaging of hard copies) as well as any and all Student Data maintained on behalf of Contractor in a secure data center and/or cloud-based facilities that remain in the possession of Contractor or its Subcontractors, Contractor shall ensure that Student Data is securely deleted and/or destroyed in a manner that does not allow it to be retrieved or retrievable, read or reconstructed. Hard copy media must be shredded or destroyed such that Student Data cannot be read or otherwise reconstructed, and electronic media must be cleared, purged, or destroyed such that the Student Data cannot be retrieved. Only the destruction of paper Student Data, and not redaction, will satisfy the requirements for data destruction. Redaction is specifically excluded as a means of data destruction.
- (c) Contractor shall provide the District with a written certification of the secure deletion and/or destruction of Student Data held by the Contractor or Subcontractors.
- (d) To the extent that Contractor and/or its subcontractors continue to be in possession of any de-identified Student Data (i.e., Student Data that has had all direct and indirect identifiers removed), they agree not to attempt to re-identify de-identified Student Data and not to transfer de-identified Student Data to any party.

**10. Commercial or Marketing Use Prohibition** (8 NYCRR §121.9).

Contractor agrees that it will not sell PII or use or disclose PII for a Commercial or Marketing Purpose.

**11. Encryption** (8 NYCRR §121.9).

Contractor shall use industry standard security measures including encryption protocols that comply with New York law and regulations to preserve and protect PII. Contractor must encrypt PII at rest and in transit in accordance with applicable New York laws and regulations.

**12. Breach** (8 NYCRR §121.10).

- (a) Contractor shall promptly notify the District of any Breach of Student Data without unreasonable delay no later than seven (7) business days after discovery of the Breach. Notifications required pursuant to this section must be in writing, given by personal delivery, e-mail transmission (if contact information is provided for the

specific mode of delivery), or by registered or certified, and must to the extent available, include a description of the Breach which includes the date of the incident and the date of discovery; the types of Student Data affected and the number of records affected; a description of Contractor's investigation; and the contact information for representatives who can assist the District. Notifications required by this section must be sent to the District's Superintendent or other head administrator with a copy to the Data Protection Office. Violations of the requirement to notify the District shall be subject to a civil penalty pursuant to Education Law Section 2-d. The Breach of certain Student Data protected by Education Law Section 2-d may subject the Contractor to additional penalties.

- (b) Notifications required under this paragraph must be provided to the District at the following address:

Jodi Delucia

Title: Data Protection Officer/Deputy Superintendent

Address: 5 BOCES Road

City, State, Zip: Poughkeepsie, NY 12601

Email: jodi.delucia@dcboces.org

**13. Cooperation with Investigations** (8 NYCRR §121.10).

Contractor agrees that it will cooperate with the District and law enforcement, where necessary, in any investigations into a Breach. Any costs incidental to the required cooperation or participation of the Contractor or its' Authorized Users, as related to such investigations, will be the sole responsibility of the Contractor if such Breach is attributable to Contractor or its Subcontractors.

**14. Notification to Individuals** (8 NYCRR §121.10).

Where a Breach of Student Data occurs that is attributable to Contractor, Contractor shall pay for or promptly reimburse the District for the full cost of the District's notification to Parents, Eligible Students, teachers, and/or principals, in accordance with Education Law Section 2-d and 8 NYCRR Part 121.

**15. Termination.**

The confidentiality and data security obligations of the Contractor under this DPA shall survive any termination of this DPA but shall terminate upon Contractor's certifying that it has destroyed all Student Data.

**ARTICLE III: PARENT AND ELIGIBLE STUDENT PROVISIONS**

**1. Parent and Eligible Student Access** (8 NYCRR §121.12).

Education Law Section 2-d and FERPA provide Parents and Eligible Students the right to inspect and review their child's or the Eligible Student's Student Data stored or maintained by the District. To the extent Student Data is held by Contractor pursuant to the Service

Agreement, Contractor shall respond within thirty (30) calendar days to the District's requests for access to Student Data so the District can facilitate such review by a Parent or Eligible Student, and facilitate corrections, as necessary. If a Parent or Eligible Student contacts Contractor directly to review any of the Student Data held by Contractor pursuant to the Service Agreement, Contractor shall promptly notify the District and refer the Parent or Eligible Student to the District.

**2. Bill of Rights for Data Privacy and Security** (8 NYCRR §§ 121.3, 121.11).

As required by Education Law Section 2-d, the Parents Bill of Rights for Data Privacy and Security and the supplemental information for the Service Agreement are included as Exhibit A and Exhibit B, respectively, and incorporated into this DPA. Contractor shall complete and sign Exhibit B and append it to this DPA. Pursuant to Education Law Section 2-d, the District is required to post the completed Exhibit B on its website.

**ARTICLE IV: MISCELLANEOUS**

**1. Priority of Agreements and Precedence.**

In the event of a conflict between and among the terms and conditions of this DPA and the Service Agreement, the terms and conditions of this DPA shall govern and prevail, shall survive the termination of the Service Agreement in the manner set forth herein, and shall supersede all prior communications, representations, or agreements, oral or written, by the Parties relating thereto.


Additionally, the Contractor's Supplemental Information and Data Privacy and Security Policy are attached hereto as Exhibit B and C respectively. Where the Contractor's Supplemental Information and Data Privacy and Security Policy conflicts with the terms of this Addendum, this Addendum shall govern.

**2. References to Statutes & Regulations**

Whenever in this Agreement reference is made to a statute or regulations made pursuant to a statute, such reference shall, unless otherwise specified, be deemed to include all amendments to such statute or regulations from time to time and all statutes or regulations which may come into effect from time to time substantially in replacement for the said statutes or regulations.

**3. Execution.**

This DPA may be executed in one or more counterparts, all of which shall be considered one and the same document, as if all parties had executed a single original document, and may be executed utilizing an electronic signature and/ or electronic transmittal, and each signature thereto shall be and constitute an original signature, as if all parties had executed a single original document.

THE DISTRICT	CONTRACTOR
BY: <i>[Signature]</i> Steve Healy	BY: <i>[Signature]</i> 

<b>[Printed Name]</b> Steven Healy	<b>[Printed Name]</b> Craig Bushman
<b>[Title]</b> Assistant Director of Technology	<b>[Title]</b> General Manager
Date: 4/28/2023	Date: 04/25/2023

*JDM*  
JDM




## EXHIBIT A - Education Law §2-d Bill of Rights for Data Privacy and Security

Parents (including legal guardians or persons in parental relationships) and Eligible Students (students 18 years and older) can expect the following:

1. A student's personally identifiable information (PII) cannot be sold or released for any Commercial or Marketing purpose. PII, as defined by Education Law § 2-d and the Family Educational Rights and Privacy Act ("FERPA"), includes direct identifiers such as a student's name or identification number, parent's name, or address; and indirect identifiers such as a student's date of birth, which when linked to or combined with other information can be used to distinguish or trace a student's identity. Please see FERPA's regulations at 34 CFR 99.3 for a more complete definition.
2. The right to inspect and review the complete contents of the student's education record stored or maintained by an educational agency. This right may not apply to Parents of an Eligible Student.
3. State and federal laws such as Education Law § 2-d; the Commissioner of Education's Regulations at 8 NYCRR Part 121, FERPA at 12 U.S.C. 1232g (34 CFR Part 99); Children's Online Privacy Protection Act ("COPPA") at 15 U.S.C. 6501-6502 (16 CFR Part 312); Protection of Pupil Rights Amendment ("PPRA") at 20 U.S.C. 1232h (34 CFR Part 98); and the Individuals with Disabilities Education Act ("IDEA") at 20 U.S.C. 1400 et seq. (34 CFR Part 300); protect the confidentiality of a student's identifiable information.
4. Safeguards associated with industry standards and best practices including, but not limited to, encryption, firewalls and password protection must be in place when student PII is stored or transferred.
5. A complete list of all student data elements collected by NYSED is available at [www.nysed.gov/data-privacy-security/student-data-inventory](http://www.nysed.gov/data-privacy-security/student-data-inventory) and by writing to: Chief Privacy Officer, New York State Education Department, 89 Washington Avenue, Albany, NY 12234.
6. The right to have complaints about possible breaches and unauthorized disclosures of PII addressed. (i) Complaints should be submitted to **the District at: jodi.delucia@dcbooces.org** (ii) Complaints may also be submitted to the NYS Education Department at [www.nysed.gov/data-privacy-security/report-improper-disclosure](http://www.nysed.gov/data-privacy-security/report-improper-disclosure), by mail to: Chief Privacy Officer, New York State Education Department, 89 Washington Avenue, Albany, NY 12234; by email to [privacy@nysed.gov](mailto:privacy@nysed.gov); or by telephone at 518-474-0937.
7. To be notified in accordance with applicable laws and regulations if a breach or unauthorized release of PII occurs.
8. Educational agency workers that handle PII will receive training on applicable state and federal laws, policies, and safeguards associated with industry standards and best practices that protect PII.

9. Educational agency contracts with vendors that receive PII will address statutory and regulatory data privacy and security requirements.

<b>CONTRACTOR</b>	
<b>[Signature]</b>	
<b>[Printed Name]</b>	Craig Bushman
<b>[Title]</b>	General Manager
<b>Date:</b>	04/25/2023

  
JDM

















