

EXHIBIT D

DATA SHARING AND CONFIDENTIALITY AGREEMENT

INCLUDING

BILL OF RIGHTS FOR DATA SECURITY AND PRIVACY

AND

SUPPLEMENTAL INFORMATION ABOUT A CONTRACT

BETWEEN MARCIA BRENNER ASSOCIATES, LLC ("LICENSOR") AND ERIE 1 BOCES

1. **Purpose**

(a) This Exhibit D sets forth the terms of a Data Sharing and Confidentiality Agreement ("DSC Agreement") that has been agreed to by the Parties as a supplement to the Master License and Service Agreement ("MLSA") to which it is attached, to ensure that the MLSA conforms to the requirements of New York State Education Law Section 2-d and Part 121 of the Regulations of the NYS Commissioner of Education (collectively referred to as "Section 2-d"). This DSC Agreement consists of the data sharing and confidentiality terms set forth herein, a copy of "Erie 1 BOCES' Bill of Rights for Data Security and Privacy" signed by the Licensor, and the "Supplemental Information about a Contract between Licensor and Erie 1 BOCES" that is required to be posted on Erie 1 BOCES' website.

(b) Licensor shall comply with all terms, conditions and obligations as set forth in this DSC Agreement, including within the Erie 1 BOCES' Bill of Rights for Data Security and Privacy and the Supplemental Information about a Contract between Licensor and Erie 1 BOCES, throughout the duration of the term of the MLSA to which it is attached. The terms of this DSC Agreement shall supersede and take the place of any other data sharing and confidentiality agreement or any similar data sharing and confidentiality language previously agreed to by the Parties prior to the date of mutual execution of the MLSA to which this DSC Agreement is attached as an Exhibit.

(c) To the extent that any terms contained within the MLSA, or any terms contained within any other Exhibits attached to and made a part of the MLSA, conflict with the terms of this DSC Agreement, the terms of this DSC Agreement will apply and be given effect. In the event that Licensor has online or written Privacy Policies or Terms of Service ("TOS") that would otherwise be applicable to its Licensees or users of its Product that is the subject of the MLSA, to the extent that any term of the TOS conflicts with the terms of this DSC Agreement, the terms of this DSC Agreement will apply and be given effect.

2. **Definitions**

Any capitalized term used within this DSC Agreement that is also found in the MLSA, if any will have the same definition as contained within the MLSA.

In addition, as used in this DSC Agreement:

(a) "Student Data" means personally identifiable information, as defined in Section 2-d, from student records that Licensor receives from a Participating Educational Agency pursuant to the MLSA.

(b) "Teacher or Principal Data" means personally identifiable information relating to the annual professional performance reviews of classroom teachers or principals that is confidential and not subject to release under the provisions of New York Education Law Sections 3012-c or 3012-d, that Licensor receives from a Participating Educational Agency pursuant to the MLSA.

(c) "Protected Data" means Student Data and/or Teacher or Principal Data to the extent applicable to Licensor's Product.

(d) "Participating Educational Agency" means a school, school district or BOCES within New York State that purchases certain shared technology services and software through a Cooperative Educational Services Agreement ("CoSer") with Erie 1 BOCES, and as a result is licensed or granted access to use Licensor's Product pursuant to the terms of the MLSA. For purposes of this DSC Agreement, the term also includes Erie 1 BOCES if licensed to use Licensor's Product pursuant to the MLSA to support its own educational programs or operations.

(e) "NIST Cybersecurity Framework" means the U.S. Department of Commerce National Institute for Standards and Technology Framework for Improving Critical Infrastructure Cybersecurity Version 1.1.

3. **Confidentiality of Protected Data**

(a) Licensor acknowledges that the Protected Data it receives pursuant to the MLSA may originate from several Participating Educational Agencies located within New York State, and that this Protected Data belongs to and is owned by the Participating Educational Agency from which it originates.

(b) Licensor will maintain the confidentiality of all Protected Data it receives in accordance with applicable federal and state law (including but not limited to Section 2-d) and this DSC Agreement, as may be amended by the Parties, and Erie 1 BOCES' policy on data security and privacy. Licensor acknowledges that Erie 1 BOCES is obligated under Section 2-d to adopt a policy on data security and privacy, and that Erie 1 BOCES will provide Licensor with a copy of its policy upon request.

4. **Data Security and Privacy Plan**

Licensor agrees that it will protect the confidentiality, privacy, and security of the Protected Data it receives from Participating Educational Agencies in accordance with Erie 1 BOCES' Parents Bill of Rights for Data Privacy and Security, a copy of which has been signed by the Licensor and is set forth below.

Additional elements of Licensor's Data Security and Privacy Plan are as follows:

(a) In order to implement all state, federal, and local data security and privacy requirements, including those contained within this DSC Agreement, consistent with Erie 1 BOCES' data security and privacy policy, Licensor will: Review its data security and privacy policy and practices to ensure that they are in conformance with all applicable federal, state, and local laws and the terms of this DSC Agreement. In the event Licensor's policy and practices are not in conformance, the Licensor will implement commercially reasonable efforts to ensure such compliance.

(b) As required by the NIST Cybersecurity Framework, in order to protect the security, confidentiality and integrity of the Protected Data that it receives under the MLSA, Licensor will have the following reasonable administrative, technical, operational, and physical safeguards and practices in place throughout the term of the MLSA:

Data Security:

- Data-at-rest & data-in-transit is encrypted
- Data leak protections are implemented

Information Protection Processes and Procedures:

- Data destruction is performed according to contract and agreements
- A plan for vulnerability management is developed and implemented

Protective Technology:

- Log/audit records are ascertained, implemented, documented, and reviewed according to policy
- Network communications are protected

Identity Management, Authentication and Access Control:

- Credentials and identities are issued, verified, managed, audited, and revoked, as applicable, for authorized devices, processes, and users
- Remote access is managed

(c) Licensor will comply with all obligations set forth in Erie 1 BOCES' "Supplemental Information about a Contract between Licensor and Erie 1 BOCES," below.

(d) For any of its officers or employees (or officers or employees of any of its subcontractors or assignees) who have access to Protected Data, Licensor has provided or will provide training on the federal and state laws governing confidentiality of such data prior to their receiving access, as follows: Annually, Licensor will require that all of its employees (or officers or employees of any of its subcontractors or assignees) undergo data security and privacy training to ensure that these individuals are aware of and familiar with all applicable data security and privacy laws.

(e) Licensor _____ will X will not utilize sub-contractors for the purpose of fulfilling one or more of its obligations under the MLSA. In the event that Licensor engages any subcontractors, assignees, or other authorized agents to perform its obligations under the MLSA, it will require such subcontractors, assignees, or other authorized agents to execute written agreements as more fully described in Erie 1 BOCES' "Supplemental Information about a Contract between Licensor and Erie 1 BOCES," below.

(f) Licensor will manage data security and privacy incidents that implicate Protected Data, including identifying breaches and unauthorized disclosures, and Licensor will provide prompt notification of any breaches or unauthorized disclosures of Protected Data in accordance with Section 6 of this DSC Agreement.

(g) Licensor will implement procedures for the return, transition, deletion and/or destruction of Protected Data at such time that the MLSA is terminated or expires, as more fully described in the "Supplemental Information about a Contract between Licensor and Erie 1 BOCES," below.

5. **Additional Statutory and Regulatory Obligations**

Licensor acknowledges that it has the following additional obligations with respect to any Protected Data received from Participating Educational Agencies, and that any failure to fulfill one or more of these statutory or regulatory obligations shall be a breach of the MLSA and the terms of this DSC Agreement:

(a) Limit internal access to education records to those individuals that are determined to have legitimate educational interests within the meaning of Section 2-d and the Family Educational Rights and Privacy Act (FERPA).

(b) Limit internal access to Protected Data to only those employees or subcontractors that need access in order to assist Licensor in fulfilling one or more of its obligations under the MLSA.

(c) Not use Protected Data for any purposes other than those explicitly authorized in this DSC Agreement.

(d) Not disclose any personally identifiable information to any other party, except for authorized representatives of Licensor using the information to carry out Licensor's obligations under the MLSA, unless:

- (i) the parent or eligible student has provided prior written consent; or
- (ii) the disclosure is required by statute or court order and notice of the disclosure is provided to the Participating Educational Agency no later than the time of disclosure unless such notice is expressly prohibited by the statute or court order.

(e) Maintain reasonable administrative, technical, and physical safeguards to protect the security, confidentiality, and integrity of Protected Data in its custody;

(f) Use encryption technology that complies with Section 2-d, as more fully set forth in the "Supplemental Information about a Contract between Licensor and Erie 1 BOCES," below.

(g) Provide notification to Erie 1 BOCES (and Participating Educational Agencies, to the extent required by, and in accordance with, Section 6 of this DSC Agreement) of any breach of security resulting in an unauthorized release of Protected Data by Licensor or its assignees or subcontractors in violation of state or federal law or other obligations relating to data privacy and security contained herein.

(h) Promptly reimburse Erie 1 BOCES or a Participating School District for the full cost of notification, in the event they are required under Section 2-d to notify affected parents, students,

teachers or principals of a breach or unauthorized release of Protected Data attributed to Licensor or its subcontractors or assignees.

6. **Notification of Breach and Unauthorized Release**

(a) Licensor shall promptly notify Erie 1 BOCES of any breach or unauthorized release of Protected Data in the most expedient way possible and without unreasonable delay, but no more than seven (7) calendar days after Licensor has discovered or been informed of the breach or unauthorized release.

(b) Licensor will provide such notification to Erie 1 BOCES by contacting the designated BOCES contact at the email address, telephone number, and/or mailing address provided by Licensee.

(c) Licensor will cooperate with Erie 1 BOCES and provide as much information as possible directly to the designated BOCES Contact about the incident, including but not limited to: a description of the incident, the date of the incident, the date Licensor discovered or was informed of the incident, a description of the types of personally identifiable information involved, an estimate of the number of records affected, the Participating Educational Agencies affected, what the Licensor has done or plans to do to investigate the incident, stop the breach and mitigate any further unauthorized access or release of Protected Data, and contact information for Licensor representatives who can assist Erie 1 BOCES or its Participating Districts that may have additional questions.

(d) Licensor acknowledges that upon initial notification from Licensor, Erie 1 BOCES, as the educational agency with which Licensor contracts, has an obligation under Section 2-d to in turn notify the Chief Privacy Officer in the New York State Education Department ("CPO"). Licensor shall not provide this notification to the CPO directly. In the event the CPO contacts Licensor directly or requests more information from Licensor regarding the incident after having been initially informed of the incident by Erie 1 BOCES, Licensor will promptly inform the designated BOCES contact.

(e) Licensor will consult directly with the designated BOCES contact prior to providing any further notice of the incident (written or otherwise) directly to any affected Participating Educational Agency.

EXHIBIT D (CONTINUED)

ERIE 1 BOCES

BILL OF RIGHTS FOR DATA SECURITY AND PRIVACY

Erie 1 BOCES is committed to protecting the privacy and security of student, teacher, and principal data. In accordance with New York Education Law § 2-d, the BOCES wishes to inform the community of the following:

- (1) A student's personally identifiable information cannot be sold or released for any commercial purposes.
- (2) Parents have the right to inspect and review the complete contents of their child's education record.
- (3) State and federal laws protect the confidentiality of personally identifiable information, and safeguards associated with industry standards and best practices, including but not limited to, encryption, firewalls, and password protection, must be in place when data is stored or transferred.
- (4) A complete list of all student data elements collected by the State is available for public review at <http://www.nysed.gov/data-privacy-security/student-data-inventory>, or by writing to the Office of Information & Reporting Services, New York State Education Department, Room 863 EBA, 89 Washington Avenue, Albany, New York 12234.
- (5) Parents have the right to have complaints about possible breaches of student data addressed. Complaints should be directed in writing to the Chief Privacy Officer, New York State Education Department, 89 Washington Avenue, Albany, New York 12234. Complaints may also be submitted using the form available at the following website: <http://www.nysed.gov/data-privacy-security/report-improper-disclosure>.

BY THE LICENSOR:

DocuSigned by:

 4D8A916394674CD

Signature

President

Title

8/28/2023

Date

EXHIBIT D (CONTINUED)

SUPPLEMENTAL INFORMATION ABOUT A CONTRACT
BETWEEN MARCIA BRENNER ASSOCIATES, LLC ("LICENSOR") AND ERIE 1 BOCES

Erie 1 BOCES has entered into a Master License and Service Agreement ("MLSA") with MARCIA BRENNER ASSOCIATES, LLC ("Licensor") which governs the availability to Participating Educational Agencies of the following Product(s):

Report Creator
Alert Creator
Fees
Device Manager
Adaptive Scheduler
Behavior
Attendance Monitor
Café
Student Schedule Creator
Chart-It

Pursuant to this MLSA, Participating Educational Agencies (*i.e.*, those educational agencies that are authorized to use the above Product(s) by purchasing certain shared technology services and software through a Cooperative Educational Services Agreement with Erie 1 BOCES) may provide to Licensor, and Licensor will receive, personally identifiable information about students, or teachers and principals, that is protected by Section 2-d of the New York State Education Law ("Protected Data"). The MLSA incorporates a Data Sharing and Confidentiality Agreement ("DSC Agreement") with Erie 1 BOCES setting forth Licensor's obligations to protect the confidentiality, privacy, and security of Protected Data it receives pursuant to the MLSA.

Exclusive Purpose for which Protected Data will be Used: The exclusive purpose for which Licensor is being provided access to Protected Data is to provide Participating Educational Agencies with the functionality of the Product(s) listed above. Licensor agrees that it will not use the Protected Data for any other purposes not explicitly authorized in the MLSA, including the

DSC Agreement. Protected Data received by Licensor, or any of Licensor's subcontractors, assignees, or other authorized agents, will not be sold, or released or used for any commercial or marketing purposes.

Oversight of Subcontractors: In the event that Licensor engages subcontractors, assignees, or other authorized agents to perform one or more of its obligations under the MLSA (including any hosting service provider), it will require those to whom it discloses Protected Data to execute legally binding agreements acknowledging their obligation under Section 2-d of the New York State Education Law to comply with the same data security and privacy standards required of Licensor under the MLSA and applicable state and federal law. Licensor will ensure that such subcontractors, assignees, or other authorized agents abide by the provisions of these agreements by: Subcontractors will not be used.

Duration of MLSA and Protected Data Upon Expiration:

- The MLSA commences on July 1, 2023, and expires on June 30, 2026.
- Upon expiration of the MLSA without renewal, or upon termination of the MLSA prior to expiration, Licensor will securely delete or otherwise destroy any and all Protected Data remaining in the possession of Licensor or its assignees or subcontractors or other authorized persons or entities to whom it has disclosed Protected Data. If requested by Erie 1 BOCES and/or any Participating Educational Agency, Licensor will assist a Participating Educational Agency in exporting all Protected Data previously received back to the Participating Educational Agency for its own use, prior to deletion, in such formats as may be requested by the Participating Educational Agency.
- In the event the MLSA is assigned to a successor Licensor (to the extent authorized by the MLSA), the Licensor will cooperate with Erie 1 BOCES as necessary to transition Protected Data to the successor Licensor prior to deletion.
- Neither Licensor nor any of its subcontractors or other authorized persons or entities to whom it has disclosed Protected Data will retain any Protected Data, copies, summaries or extracts of the Protected Data, or any de-identified Protected Data, on any storage medium whatsoever. Upon request, Licensor and/or its subcontractors or other authorized persons or entities to whom it has disclosed Protected Data, as applicable, will provide Erie 1 BOCES with a certification from an appropriate officer that these requirements have been satisfied in full.

Challenging Accuracy of Protected Data: Parents or eligible students can challenge the accuracy of any Protected Data provided by a Participating Educational Agency to Licensor, by contacting the student's district of residence regarding procedures for requesting amendment of education records under the Family Educational Rights and Privacy Act (FERPA). Teachers or principals may be able to challenge the accuracy of APPR data provided to Licensor by following the appeal process in their employing school district's applicable APPR Plan.

Data Storage and Security Protections: Any Protected Data Licensor receives will be stored on systems maintained by Licensor, or by a subcontractor under the direct control of Licensor, in a secure data center facility located within the United States. The measures that Licensor will take to protect Protected Data include adoption of technologies, safeguards and practices that align with the NIST Cybersecurity Framework and industry best practices including, but not necessarily limited to, disk encryption, file encryption, firewalls, and password protection.

Encryption of Protected Data: Licensor (or, if applicable, its subcontractors) will protect Protected Data in its custody from unauthorized disclosure while in motion or at rest, using a technology or methodology specified by the secretary of the U.S. Department of HHS in guidance issued under Section 13402(H)(2) of P.L. 111-5.