



This Washington Student Data Privacy Agreement (“DPA”) is entered into by and between the Northshore School District (hereinafter referred to as “LEA”) and Houghton Mifflin Harcourt Publishing Company (hereinafter referred to as “Provider”) on August 28, 2019. The Parties agree to the terms as stated herein.

## RECITALS

**WHEREAS**, the Provider has agreed to provide the Local Education Agency (“LEA”) with certain digital educational services (“Services”) pursuant to a contract related to *HMH Math Expressions* 2013 K-5 and *Bien dit!* 2008 Levels 1-3 (“Service Agreement”); and

**WHEREAS**, in order to provide the Services described in the Service Agreement, the Provider may receive or create and the LEA may provide documents or data that are covered by several federal statutes, among them, the Family Educational Rights and Privacy Act (“FERPA”) at 20 U.S.C. § 1232g (34 CFR Part 99), Children’s Online Privacy Protection Act (“COPPA”), 15 U.S.C. § 6501-6506; Protection of Pupil Rights Amendment (“PPRA”) 20 U.S.C. § 1232h; and

**WHEREAS**, the documents and data transferred from LEAs and created by the Provider’s Services are also subject to several Washington State privacy laws, including Student User Privacy in Education Rights (“SUPER”) 28A.604.010 *et seq.*, as well as RCW 19.255.010 *et seq.* and RCW 42.56.590.

**WHEREAS**, for the purposes of this DPA, Provider is a School Official with legitimate educational interests in accessing educational records and performing Services pursuant to the Service Agreement; and

**WHEREAS**, the Parties wish to enter into this DPA to ensure that the Service Agreement conforms to the requirements of the privacy laws referred to above and to establish implementing procedures and duties; and

**WHEREAS**, the Provider may, by signing the “General Offer of Privacy Terms”, agree to allow other LEAs in Washington the opportunity to accept and enjoy the benefits of this DPA for the Services described herein, without the need to negotiate terms in a separate DPA.

**NOW THEREFORE**, for good and valuable consideration, the parties agree as follows:

## ARTICLE I: PURPOSE AND SCOPE

- 1. Purpose of DPA.** The purpose of this DPA is to describe the duties and responsibilities to protect student data transmitted to Provider from the LEA pursuant to the Service Agreement, including compliance with all applicable statutes, including the FERPA, PPRA, COPPA, SUPER and other

applicable Washington State laws, all as may be amended from time to time. In performing these Services, the Provider shall be considered a School Official with a legitimate educational interest, and performing services otherwise provided by the LEA. With respect to the use and maintenance of Student Data, Provider shall be under the direct control and supervision of the LEA.

2. **Nature of Services Provided**. The Provider has agreed to provide the following digital educational products and Services described below and as may be further outlined in Exhibit "A" attached hereto: Math Expressions 2013 K-5 and Bien dit! 2008 Levels 1-3.
3. **Student Data to Be Provided**. In order to perform the Services described in the Service Agreement, LEA shall provide the categories of data described below or as indicated in the Schedule of Data, attached hereto as Exhibit "B".
4. **DPA Definitions**. The definition of terms used in this DPA is found in Exhibit "C" attached hereto. In the event of a conflict, definitions used in this DPA shall prevail over term used in the Service Agreement.

## **ARTICLE II: DATA OWNERSHIP AND AUTHORIZED ACCESS**

1. **Student Data Property of LEA**. All Student Data transmitted to the Provider pursuant to the Service Agreement is and will continue to be the property of and under the control of the LEA. The Provider further acknowledges and agrees that all copies of such Student Data transmitted to the Provider, including any modifications or additions or any portion thereof from any source, are subject to the provisions of this DPA in the same manner as the original Student Data. The Parties agree that as between them, all rights, including all intellectual property rights in and to Student Data contemplated per the Service Agreement shall remain the exclusive property of the LEA. For the purposes of FERPA, the Provider shall be considered a School Official, under the control and direction of the LEAs as it pertains to the use of Student Data notwithstanding the above. Provider may transfer student-generated content to a separate account, according to the procedures set forth below.
2. **Parent Access**. LEA shall establish reasonable procedures by which a parent, legal guardian, or eligible student may review Student Data in the student's records, correct erroneous information, and procedures for the transfer of student-generated content to a personal account, consistent with the functionality of Services. Provider shall respond in a timely manner (and no later than 45 days from the date of the request) to the LEA's request for Student Data in a student's records held by the Provider to view or correct as necessary. In the event that a parent of a student or other individual contacts the Provider to review any of the Student Data accessed pursuant to the Services, the Provider shall refer the parent or individual to the LEA, who will follow the necessary and proper procedures regarding the requested information.
3. **Separate Account**. If Student Generated Content is stored or maintained by the Provider as part of the Services described in Exhibit "A", Provider shall, at the request of the LEA, transfer said Student Generated Content to a separate student account upon termination of the Service

Agreement; provided, however, such transfer shall only apply to Student Generated Content that is severable from the Services.

4. **Third Party Request.** Should a Third Party, including law enforcement and government entities, contact Provider with a request for data held by the Provider pursuant to the Services, the Provider shall redirect the Third Party to request the data directly from the LEA. Provider shall notify the LEA in advance of a compelled disclosure to a Third Party unless legally prohibited.
5. **Subprocessors.** Provider shall enter into written agreements with all Subprocessors performing functions pursuant to the Service Agreement, whereby the Subprocessors agree to protect Student Data in a manner consistent with the terms of this DPA.

### ARTICLE III: DUTIES OF LEA

1. **Privacy Compliance** LEA shall provide data to Provider for the purposes of the Service Agreement in compliance with FERPA, COPPA, PPRA, SUPER and all other Washington privacy statutes.
2. **Annual Notification of Rights.** If the LEA has a policy of disclosing education records under 4 CFR § 99.31 (a) (1), LEA shall include a specification of criteria for determining who constitutes a School Official and what constitutes a legitimate educational interest in its annual notification of rights.
3. **Reasonable Precautions.** LEA shall take reasonable precautions to secure usernames, passwords, and any other means of gaining access to its computer systems, Services and hosted data.
4. **Unauthorized Access Notification.** LEA shall notify Provider promptly of any known or suspected unauthorized access. LEA will assist Provider in any efforts by Provider to investigate and respond to any unauthorized access.

### ARTICLE IV: DUTIES OF PROVIDER

1. **Privacy Compliance.** The Provider shall comply with all applicable state and federal laws and regulations pertaining to data privacy and security, including FERPA, COPPA, PPRA, SUPER and all other Washington privacy statutes.
2. **Authorized Use.** The data shared pursuant to the Service Agreement, including Persistent Unique Identifiers, shall be used for no purpose other than the Services stated in the Service Agreement and/or otherwise authorized under the statutes referred to in subsection (1), above.
3. **Employee Obligation.** Provider shall require all officers, employees and agents (including, but not limited to, Subprocessors) who have access to Student Data to comply with all applicable provisions of this DPA with respect to the data shared under the Service Agreement.

4. **No Disclosure.** De-identified information may be used by the Provider for the purposes of development, research, and improvement of educational sites, Services, or applications, as any other member of the public or party would be able to use de-identified data pursuant to 34 CFR 99.31(b). Provider agrees not to attempt to re-identify de-identified Student Data and not to transfer de-identified Student Data to any party unless (a) that party agrees in writing not to attempt re-identification, and (b) prior written notice has been given to LEA, which has provided prior written consent for such transfer. Provider shall not copy, reproduce or transmit any data obtained under the Service Agreement and/or any portion thereof, except as necessary to fulfill the Service Agreement.
  
5. **Disposal of Data.** Upon request, Provider shall dispose of or delete all Student Data obtained under the Service Agreement when it is no longer needed for the purpose for which it was obtained. Disposal shall include (1) the shredding of any hard copies of any Student Data; (2) Erasing; or (3) Otherwise modifying the personal information in those records to make it unreadable and/or indecipherable by human or digital means. Nothing in the Service Agreement authorizes Provider to maintain Student Data obtained under the Service Agreement beyond the time period reasonably needed to complete the disposal. Provider shall provide written notification to LEA when the Student Data has been disposed. The duty to dispose of Student Data shall not extend to data that has been de-identified or placed in a separate Student account, pursuant to the other terms of the DPA. The LEA may employ a “Request for Return or Deletion of Student Data” form, a copy of which is attached hereto as Exhibit “D”). Upon receipt of a request from the LEA, the Provider will provide the LEA with any specified portion of the Student Data within ten (10) calendar days of receipt of said request.
  - a. **Partial Disposal During Term of Service Agreement.** Throughout the term of the Service Agreement, LEA may request partial disposal of Student Data obtained under the Service Agreement that is no longer needed. Partial disposal of data shall be subject to LEA’s request to transfer data to a Student Generated Content account pursuant to Article II, section 3, above. The LEA may also request that specific Student Data be returned to the LEA.
  
  - b. **Complete Disposal Upon Termination of Service Agreement.** Upon termination of the Service Agreement Provider shall dispose of or delete all Student Data obtained under the Service Agreement. Prior to disposal of the data, Provider shall notify LEA of its option to transfer data to a Student Generated Content account pursuant to Article II, section 3, above, or to other accounts as may be designated by the LEA. In no event shall Provider dispose of data pursuant to this provision unless and until Provider has received affirmative written confirmation from LEA that data will not be transferred to a separate account.
  
  - c.
  
  - d. **Pre-termination Data Disposal Meeting.** In addition to the foregoing requirements, the LEA may request in writing that Provider participate in a meeting to discuss disposal of the Student Data prior to termination of the Service Agreement.
  
6. **Advertising Prohibition.** Provider is prohibited from using or selling Student Data to (a) market or advertise to students or families/guardians; (b) inform, influence, or enable marketing,

advertising, or other commercial efforts by a Provider; (c) develop a profile of a student, family member/guardian or group, for any commercial purpose other than providing the Service to LEA; or (d) use the Student Data for the development of commercial products or Services, other than as necessary to provide the Service to LEA. This section does not prohibit Provider from using Student Data for adaptive learning or customized student learning purposes.

## ARTICLE V: DATA PROVISIONS

1. **Data Security.** The Provider agrees to abide by and maintain adequate data security measures, consistent with industry standards and technology best practices, to protect Student Data from unauthorized disclosure or acquisition by an unauthorized person. The general security duties of Provider are set forth below. Provider may further detail its security programs and measures in Exhibit "F" attached hereto. These measures shall include, but are not limited to:
  - a. **Passwords and Employee Access.** Provider shall secure usernames, passwords, and any other means of gaining access to the Services or to Student Data, at a level suggested by the applicable standards, as set forth in Article 4.3 of NIST 800-63-3. Provider shall only provide access to Student Data to employees, contractors and/or Subprocessors that are performing the Services. Employees with access to Student Data shall have signed confidentiality agreements regarding said Student Data. All employees with access to Student Records shall be subject to criminal background checks in compliance with state and local ordinances.
  - b. **Destruction of Data.** Provider shall destroy or delete all Student Data obtained under the Service Agreement when it is no longer needed for the purpose for which it was obtained or transfer said data to LEA or LEA's designee, according to the procedure identified in Article IV, section 5, above. Nothing in the Service Agreement authorizes Provider to maintain Student Data beyond the time period reasonably needed to complete the disposal work authorized under the Service Agreement.
  - c. **Security Protocols.** Both parties agree to maintain security protocols that meet industry standards in the transfer or transmission of any data, including ensuring that data may only be viewed or accessed by parties legally allowed to do so. Provider shall maintain all data obtained or generated pursuant to the Service Agreement in a secure digital environment and not copy, reproduce, or transmit data obtained pursuant to the Service Agreement, except as necessary to fulfill the purpose of data requests by LEA.
  - d. **Employee Training.** The Provider shall provide periodic security training to those of its employees who operate or who are authorized to access the Provider's computer systems and/or the Student Data. Further, Provider shall provide LEA with contact information of an employee who LEA may contact if there are any security concerns or questions.
  - e. **Mobile Use of Student Data.** Provider shall ensure that any and all mobile devices of any type (including, but not limited to, laptops, tablets, and phones), which are used for access to, storage or analysis of Student Data by Provider's employees, contractors and/or

Subprocessors shall be protected by industry standard encryption to prevent unauthorized access by third parties. Provider shall also implement a Bring Your Own Device (“BYOD”) policy for its own employees, which requires them to use physical and technical safeguards against third party access to the device, and a copy of that BYOD policy shall be provided to LEA as part of Exhibit F to this DPA. Provider shall ensure that all contractors and/or Subprocessors implement BYOD policies, which provide for substantially the same level of security for mobile devices as are provided by Provider’s BYOD policy.

- f. Security Technology.** When the Student Data is accessed using a supported web browser, Provider shall employ industry standard measures to protect data from unauthorized access. The service security measures shall include server authentication and data encryption. Provider shall host data pursuant to the Service Agreement in an environment using a firewall that is updated according to industry standards.
  - g. Security Coordinator.** If different from the designated representative identified in Article VII, section 5, Provider shall provide the name and contact information of Provider’s Security Coordinator for the Student Data received pursuant to the Service Agreement.
  - h. Subprocessors Bound.** Provider shall enter into written agreements whereby Subprocessors agree to secure and protect Student Data in a manner consistent with the terms of this Article V. Provider shall periodically (no less than semi-annually) conduct or review compliance monitoring and assessments of Subprocessors to determine their compliance with this Article.
  - i. Periodic Risk Assessment.** Provider further acknowledges and agrees to conduct digital and physical periodic (no less than semi-annual) risk assessments and remediate any identified security and privacy vulnerabilities in a timely manner. In the event that the term of the Service Agreement is anticipated to be longer than two (2) years, Provider shall provide written confirmation to the LEA that a third party has conducted a risk assessment analysis of Provider’s computer systems at some point during the term of the Service Agreement.
  - j. Compliance Audit.** LEA shall have the right but shall be under no obligation to conduct audit(s), from time to time, of Provider’s records concerning its compliance obligations as set forth in this Article V. Provider shall make such records and other documents available to LEA upon request.
- 2. Data Breach.** In the event that Student Data is accessed or obtained by an unauthorized individual, Provider shall provide notification to LEA immediately following discovery of the incident. Provider shall follow the following process:
- a.** The security breach notification shall be written in plain language, shall be titled “Notice of Data Breach,” and shall present the information described herein under the following headings: “What Happened,” “What Information Was Involved,” “What We Are Doing,” “What You Can Do,” and “For More Information.” Additional information may be provided as a supplement to the notice.

- b. The security breach notification described above in section 2(a) shall include, at a minimum, the following information:
  - i. The name and contact information of the reporting Provider subject to this section.
  - ii. A list of the types of Student Data that were or are reasonably believed to have been the subject of a breach.
  - iii. If the information is possible to determine at the time the notice is provided, then either (1) the date of the breach, (2) the estimated date of the breach, or (3) the date range within which the breach occurred. The notification shall also include the date of the notice.
  - iv. Whether the notification was delayed as a result of a law enforcement investigation and the law enforcement agency determined that notification would impede a criminal investigation.
  - v. A general description of the breach incident, if that information is possible to determine at the time the notice is provided.
- c. At LEA's discretion, the security breach notification may also include any of the following:
  - i. Information about what the Provider has done to protect individuals whose information has been breached.
  - ii. Advice on steps that the person whose information has been breached may take to protect himself or herself.
- d. Provider agrees to adhere to all requirements in applicable state and federal law with respect to a data breach related to the Student Data, including, when appropriate or required, the required responsibilities and procedures for notification and mitigation of any such data breach.
- e. Provider further acknowledges and agrees to have a written incident response plan that reflects best practices and is consistent with industry standards and federal and state law for responding to a data breach, breach of security, privacy incident or unauthorized acquisition or use of Student Data or any portion thereof, including personally identifiable information and agrees to provide LEA, upon request, with a copy of said written incident response plan.
- f. Provider is prohibited from directly contacting parent, legal guardian or eligible student unless expressly requested by LEA. If LEA requests Provider's assistance providing notice of unauthorized access, and such assistance is not unduly burdensome to Provider, Provider shall notify the affected parent, legal guardian or eligible student of the unauthorized access, which shall include the information listed in subsections (b) and (c), above.
- g. In the event of a breach originating from LEA's use of the Service, Provider shall cooperate with LEA to the extent necessary to expeditiously secure Student Data.



## ARTICLE VI – INDEMNITY

**1. Indemnity.** Provider shall defend, indemnify and hold harmless the LEA, its officers, directors, employees, agents and assigns (the “Indemnitees”) from and against any and all losses, damages, liabilities, deficiencies, actions, judgments, interest, awards, penalties, fines, costs or expenses of whatever kind, including reasonable attorneys’ fees, the cost of enforcing any right to indemnification hereunder and the cost of pursuing any insurance carrier, arising out of or resulting from any third-party claim against the Indemnitees arising out of or resulting from Provider’s failure to comply with any of its obligations under this DPA. Provider’s duty to defend and indemnify the LEA includes any and all claims and causes of action whether based in tort, contract, statute, or equity. Provider agrees that it shall be obligated to accept any tender of defense by the LEA pursuant to this DPA and provide a full defense to the LEA so long as any potential exists for Provider to have an obligation to indemnify the LEA for any part of any potential judgment against the LEA.

Provider’s defense and indemnity obligations herein are intended to provide for the broadest indemnity rights available under Washington law and shall survive the termination of this DPA. To the extent Provider’s defense and indemnity obligations as set forth in this DPA conflict with the terms of the Service Agreement, the defense and indemnity provisions set forth herein shall control.

## ARTICLE VII- GENERAL OFFER OF PRIVACY TERMS

Provider may, by signing the attached Form of General Offer of Privacy Terms (General Offer, attached hereto as Exhibit “E”), be bound by the terms of this DPA to any other LEA who signs the acceptance on in said Exhibit. The Form is limited by the terms and conditions described therein.

## ARTICLE VIII: MISCELLANEOUS

- 1. Term.** The Provider shall be bound by this DPA for the duration of the Service Agreement or so long as the Provider maintains any Student Data. Notwithstanding the foregoing, Provider agrees to be bound by the terms and obligations of this DPA for a period of three (3) years, or so long as the Provider performs services under this Agreement, whichever shall be longer.
- 2. Termination.** In the event that either party seeks to terminate this DPA, they may do so by mutual written consent so long as the Service Agreement has lapsed or has been terminated. LEA shall have the right to terminate the DPA and Service Agreement in the event of a material breach by Provider, its employees, or agents of the terms of this DPA.
- 3. Effect of Termination Survival.** If the Service Agreement is terminated, the Provider shall destroy all of LEA’s data pursuant to Article V, section 1(b), and Article II, section 3, above.
- 4. Priority of Agreements.** This DPA shall govern the treatment of Student Data in order to comply with privacy protections, including those found in FERPA and all applicable privacy statutes identified in this DPA. In the event there is conflict between the DPA and the Service Agreement, the DPA shall apply and take precedence. No indemnification provisions granted by

the LEA in the Service Agreement shall be effective as to a breach of the terms of this DPA by the Provider. Except as described in this paragraph herein, all other provisions of the Service Agreement shall remain in effect.

5. **Notice.** All notices or other communication required or permitted to be given hereunder must be in writing and given by personal delivery, or e-mail transmission (if contact information is provided for the specific mode of delivery), or first class mail, postage prepaid, sent to the designated representatives before:

**a. Designated Representatives**

The designated representative for the LEA for this DPA is:

Name: Allen Miedema  
Title: Executive Director of Technology

Contact Information:  
3330 Monte Villa Parkway  
Bothell, WA 98021  
amiedema@nsd.org

The designated representative for the Provider for this DPA is:

Name: Houghton Mifflin Harcourt Publishing Company  
Title: Attn: Legal Counsel

Contact Information: 125 High Street, Boston, MA 02110

**b. Notification of Acceptance of General Offer of Terms.** Upon execution of Exhibit E, General Offer of Terms, Subscribing LEA shall provide notice of such acceptance in writing and given by personal delivery, or e-mail transmission (if contact information is provided for the specific mode of delivery), or first class mail, postage prepaid, to the designated representative below.

The designated representative for the notice of acceptance of the General Offer of Privacy Terms is:

Name: \_\_\_\_\_  
Title: \_\_\_\_\_

Contact Information:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

6. **Entire Agreement.** This DPA constitutes the entire agreement of the parties relating to the subject matter hereof and supersedes all prior communications, representations, or agreements, oral or written, by the parties relating thereto. This DPA may be amended and the observance of any provision of this DPA may be waived (either generally or in any particular instance and either retroactively or prospectively) only with the signed written consent of both parties. Neither failure nor delay on the part of any party in exercising any right, power, or privilege hereunder shall operate as a waiver of such right, nor shall any single or partial exercise of any such right, power, or privilege preclude any further exercise thereof or the exercise of any other right, power, or privilege.
7. **Severability.** Any provision of this DPA that is prohibited or unenforceable in any jurisdiction shall, as to such jurisdiction, be ineffective to the extent of such prohibition or unenforceability without invalidating the remaining provisions of this DPA, and any such prohibition or unenforceability in any jurisdiction shall not invalidate or render unenforceable such provision in any other jurisdiction. Notwithstanding the foregoing, if such provision could be more narrowly drawn so as not to be prohibited or unenforceable in such jurisdiction while, at the same time, maintaining the intent of the parties, it shall, as to such jurisdiction, be so narrowly drawn without invalidating the remaining provisions of this DPA or affecting the validity or enforceability of such provision in any other jurisdiction.
8. **Governing Law; Venue and Jurisdiction.** THIS DPA WILL BE GOVERNED BY AND CONSTRUED IN ACCORDANCE WITH THE LAWS OF THE STATE OF WASHINGTON, WITHOUT REGARD TO CONFLICTS OF LAW PRINCIPLES. EACH PARTY CONSENTS AND SUBMITS TO THE SOLE AND EXCLUSIVE JURISDICTION TO THE STATE AND FEDERAL COURTS FOR THE COUNTY IN WHICH THE LEA IS LOCATED FOR ANY DISPUTE ARISING OUT OF OR RELATING TO THIS SERVICE AGREEMENT OR THE TRANSACTIONS CONTEMPLATED HEREBY.
9. **Authority.** Provider represents that it is authorized to bind to the terms of this DPA, including confidentiality and destruction of Student Data and any portion thereof contained therein, all related or associated institutions, individuals, employees or contractors who may have access to the Student Data and/or any portion thereof, or may own, lease or control equipment or facilities of any kind where the Student Data and portion thereof stored, maintained or used in any way. Provider agrees that any purchaser of the Provider shall also be bound to the Agreement.
10. **Waiver.** No delay or omission of the LEA to exercise any right hereunder shall be construed as a waiver of any such right and the LEA reserves the right to exercise any such right from time to time, as often as may be deemed expedient.
11. **Successors Bound.** This DPA is and shall be binding upon Provider's respective successors in interest in the event of a merger, acquisition, consolidation or other business reorganization or sale of all or substantially all of the assets of such business.

[Signature Page Follows]

10. Final Agreement This DPA constitutes the entire agreement of the parties relating to the subject matter hereof and supersedes all prior communications, negotiations or agreements, oral or written, by the parties relating thereto. This DPA may be amended and the amended version of this DPA may be subject to further consent of the parties. Further, this DPA shall remain in full force and effect until the parties agree in writing to terminate or modify the DPA. The parties agree that the DPA shall remain in full force and effect until the parties agree in writing to terminate or modify the DPA. The parties agree that the DPA shall remain in full force and effect until the parties agree in writing to terminate or modify the DPA.

11. Severability Any provision of this DPA that is prohibited or unenforceable in any jurisdiction shall, as to such jurisdiction, be ineffective to the extent of such prohibition or unenforceability without affecting the remaining provisions of this DPA, and any such prohibition or unenforceability in any jurisdiction shall not render unenforceable any provision in any other jurisdiction. Notwithstanding the foregoing, if such provision could be enforced in that jurisdiction, it shall not be prohibited or unenforceable in that jurisdiction while, in the same time, maintaining the intent of the parties, it shall, as to such jurisdiction, be so rendered unenforceable. The parties agree that the DPA is intended to be enforceable in all jurisdictions.

12. Governing Law, Venue and Jurisdiction THE DPA WILL BE GOVERNED BY AND CONSIDERED IN ACCORDANCE WITH THE LAWS OF THE STATE OF WASHINGTON. WITHOUT REGARD TO CONFLICTS OF LAW PRINCIPLES, EACH PARTY CONSENTS AND SUBMITS TO THE SOLE AND EXCLUSIVE JURISDICTION TO THE STATE AND FEDERAL COURTS FOR THE COUNTY IN WHICH THE LEA IS LOCATED FOR ANY DISPUTE ARISING OUT OF OR RELATING TO THIS PRIVACY AGREEMENT OR THE TRANSACTIONS CONTINGENT HEREBY.

13. Assignment Provider warrants that it is subject in full to the terms of the DPA, including confidentiality and destruction of student data and any policies thereof, contained therein. All related or associated institutions, staff, agents, employees or contractors who may have access to the student data under the terms of the DPA shall be bound by the terms of the DPA. Provider agrees that any assignment of the DPA shall be subject to the terms of the DPA.

14. Waiver No delay or abatement of the DPA or waiver of any right hereunder shall be deemed as a waiver of any such right and the LEA reserves the right to exercise any such right from time to time, in whole or in part, as may be deemed appropriate.

15. Successor Bound This DPA is and shall be binding upon Provider's respective successors in interest in the event of a merger, acquisition, consolidation, or other business reorganization or sale of all or substantially all of the assets of such institution.

**IN WITNESS WHEREOF**, the parties have executed this Washington Student Data Privacy Agreement as of the last day noted below.

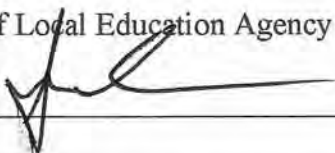
Name of Provider Houghton Mifflin Harcourt Publishing Company

BY: Nicole Genova Date: 9/5/2019

Printed Name: Nicole Genova  
Title/Position: Director, Bids and Contracts

Address for Notice Purposes:  
125 High Street  
Boston, MA 02110

Name of Local Education Agency

BY:  Date: 8.31.19

Printed Name: Allen Miedema Title/Position: Executive Director of Technology

Address for Notice Purposes:  
3330 Monte Villa Parkway  
Bothell, WA 98021

**EXHIBIT "A"**

**DESCRIPTION OF SERVICES**

Math Expressions 2013 K-5 and Bien dit! 2008 Levels 1-3

**EXHIBIT "B"**

**SCHEDULE OF DATA**

**Houghton Mifflin Harcourt™ (HMH®): ThinkCentral Student Data Usage**

Category	Item	Retention Period	Use
Administrative	Student Information System (SIS) Data	5 years	Operational purposes
	Student Assessment Data	5 years	Operational purposes
Instructional	Classroom Management System (CMS) Data	5 years	Operational purposes
	Learning Management System (LMS) Data	5 years	Operational purposes
Assessment	Formative Assessment Data	5 years	Operational purposes
	Summative Assessment Data	5 years	Operational purposes
Reporting	Student Performance Reports	5 years	Operational purposes
	Teacher Performance Reports	5 years	Operational purposes
Research	Student Performance Data	5 years	Operational purposes
	Teacher Performance Data	5 years	Operational purposes
Marketing	Student Contact Information	5 years	Operational purposes
	Teacher Contact Information	5 years	Operational purposes
Compliance	Student Assessment Data	5 years	Operational purposes
	Teacher Assessment Data	5 years	Operational purposes
Security	Student Assessment Data	5 years	Operational purposes
	Teacher Assessment Data	5 years	Operational purposes

ThinkCentral Student Data Usage Specifications		
Category of Data	Elements	Check if used by your system
Application Technology Metadata	IP Addresses of users; Use of cookies; etc.	X
	Other application technology metadata	
Application Use Statistics	Metadata on user interaction with application	X
Assessment	Standardized test scores	
	Observation data	
	Other assessment data – Please specify:	Performance-based Assessment Scores; Universal Screener and Progress Monitoring Scores; Curriculum-embedded Assessment Scores
Attendance	Student school (daily) attendance data	
	Student class attendance	
Communications	Online communications that are captured (emails, blog entries)	
Conduct	Conduct or behavioral data	
Demographics	Date of Birth	
	Place of Birth	
	Gender	X
	Ethnicity or race	X
	Language Information (native, preferred, or primary language spoken by student)	X
	Other demographics – Please specify:	
Enrollment	Student school enrollment	X
	Student grade level	X
	Homeroom	
	Guidance counselor	
	Specific curriculum	
	Year of graduation	
	Other enrollment information – Please specify:	Class enrollment
Parent/Guardian Contact Information	Address	
	Email	
	Phone	
Parent/Guardian ID	Parent ID number (created to link parents to students)	



ThinkCentral Student Data Usage Specifications		
Category of Data	Elements	Check if used by your system
Parent/Guardian Name	First and/or Last	
Schedule	Student scheduled courses	X
	Teacher names	X
Special Indicator	English language learner information	X
	Low income status	X
	Medical alerts	
	Student disability information	X
	Specialized education services (IEP or 504)	X
	Living situations (homeless/foster care)	
	Other indicator – Please specify:	
Student Contact Information	Address	
	Email	
	Phone	
Student Identifiers	Local (School district) ID number	X
	State ID number	
	Vendor/App assigned student ID number	X
	Student app username	X
	Student app passwords	X
Student Name	First and/or Last	X
Student in App Performance	Program/application performance (typing program-student types 60 wpm; reading program-student reads below grade-level)	X
Student Program Membership	Academic or extracurricular activities a student may belong to or participate in	
Student Survey Responses	Student responses to surveys or questionnaires	
Student Work	Student generated content; writing, pictures; etc.	X
	Other student work data – Please specify:	Notes / Annotations / Highlights

ThinkCentral Student Data Usage Specifications		
Category of Data	Elements	Check if used by your system
Transcript	Student course grades	
	Student course data	X
	Student course grades/performance scores	Student Course Grades / Performance Scores per Assignment Only
	Other transcript data – Please specify:	
Transportation	Student bus assignment	
	Student pick-up and/or drop-off location	
	Student bus card ID number	
	Other transportation data – Please specify:	
Other	Please list each additional data element used, stored, or collected by your application.	Please refer to the following ThinkCentral Online Help link for additional information: <a href="http://downloads.hmlt.hmco.com/Help/ThinkCentral/Administrator/Index.htm">http://downloads.hmlt.hmco.com/Help/ThinkCentral/Administrator/Index.htm</a> .

**Houghton Mifflin Harcourt™ (HMH®): Holt McDougal Online (HMOF / my.hrw.com) Student Data Usage**

HMOF / my.hrw.com Student Data Usage Specifications		
Category of Data	Elements	Check if used by your system
Application Technology Metadata	IP Addresses of users; Use of cookies; etc.	X (Login page to remember settings)
	Other application technology metadata	
Application Use Statistics	Metadata on user interaction with application	X
Assessment	Standardized test scores	
	Observation data	
	Other assessment data – Please specify:	Performance-based Assessment Scores; Universal Screener and Progress Monitoring Scores; Curriculum-embedded Assessment Scores
Attendance	Student school (daily) attendance data	
	Student class attendance	
Communications	Online communications that are captured (emails, blog entries)	
Conduct	Conduct or behavioral data	
Demographics	Date of Birth	
	Place of Birth	
	Gender	X
	Ethnicity or race	X
	Language Information (native, preferred, or primary language spoken by student)	X
	Other demographics – Please specify:	Please refer to the following Holt McDougal Online Help link for additional information: <a href="http://downloads.hmlt.hmco.com/Help/DASAHelp/index.htm">http://downloads.hmlt.hmco.com/Help/DASAHelp/index.htm</a> .
Enrollment	Student school enrollment	X
	Student grade level	X
	Homeroom	
	Guidance counselor	
	Specific curriculum	
	Year of graduation	
	Other enrollment information – Please specify:	Class enrollment; please refer to the following link for additional information on HMH's data import file

HMOF / my.hrw.com Student Data Usage Specifications		
Category of Data	Elements	Check if used by your system
		templates: <a href="http://downloads.hmlt.hmco.com/Help/ImportMngmt/Administrator/About%20Template%20Formats.htm">http://downloads.hmlt.hmco.com/Help/ImportMngmt/Administrator/About Template Formats.htm</a> .
Parent/Guardian Contact Information	Address	
	Email	
	Phone	
Parent/Guardian ID	Parent ID number (created to link parents to students)	
Parent/Guardian Name	First and/or Last	
Schedule	Student scheduled courses	X
	Teacher names	X
Special Indicator	English language learner information	X
	Low income status	X
	Medical alerts	
	Student disability information	X
	Specialized education services (IEP or 504)	X
	Living situations (homeless/foster care)	
	Other indicator – Please specify:	Please refer to the following Holt McDougal Online Help link for additional information: <a href="http://downloads.hmlt.hmco.com/Help/DASAHelp/index.htm">http://downloads.hmlt.hmco.com/Help/DASAHelp/index.htm</a> .
Student Contact Information	Address	
	Email	
	Phone	
Student Identifiers	Local (School district) ID number	X
	State ID number	
	Vendor/App assigned student ID number	X
	Student app username	X

HMOF / my.hrw.com Student Data Usage Specifications		
Category of Data	Elements	Check if used by your system
	Student app passwords	X
Student Name	First and/or Last	X
Student in App Performance	Program/application performance (typing program-student types 60 wpm; reading program-student reads below grade-level)	X
Student Program Membership	Academic or extracurricular activities a student may belong to or participate in	
Student Survey Responses	Student responses to surveys or questionnaires	
Student Work	Student generated content; writing, pictures; etc.	X
	Other student work data – Please specify:	Notes / Annotations / Highlights
Transcript	Student course grades	
	Student course data	X
	Student course grades/performance scores	Student Course Grades / Performance Scores per Assignment Only
	Other transcript data – Please specify:	
Transportation	Student bus assignment	
	Student pick-up and/or drop-off location	
	Student bus card ID number	
	Other transportation data – Please specify:	
Other	Please list each additional data element used, stored, or collected by your application.	Please refer to the following Holt McDougal Online Help link for additional information: <a href="http://downloads.hmt.hmco.com/Help/DASAHelp/index.htm">http://downloads.hmt.hmco.com/Help/DASAHelp/index.htm</a> .

## EXHIBIT "C"

### DEFINITIONS

**ACPE (Association for Computer Professionals in Education):** Refers to the membership organization serving educational IT professionals in the States of Oregon and Washington to promote general recognition of the role of IT professionals in educational institutions; improve network and computer services; integrate emerging technologies; encourage appropriate use of information technology for the improvement of education and support standards whereby common interchanges of electronic information can be accomplished efficiently and effectively.

**Educational Records:** Educational Records are official records, files and data directly related to a student and maintained by the school or local education agency, including but not limited to, records encompassing all the material kept in the student's cumulative folder, such as general identifying data, records of attendance and of academic work completed, records of achievement, and results of evaluative tests, health data, disciplinary status, test protocols and individualized education programs as identified by Washington Compact Provision 28A.705.010. The categories of Educational Records under Washington law are also found in Exhibit B. For purposes of this DPA, Educational Records are referred to as Student Data.

**De-Identifiable Information (DII):** De-Identification refers to the process by which the Vendor removes or obscures any Personally Identifiable Information ("PII") from student records in a way that removes or minimizes the risk of disclosure of the identity of the individual and information about them.

**Indirect Identifiers:** Indirect identifiers include information that can be combined with other information to identify specific individuals, including, for example, a combination of gender, birth date, geographic indicator (*e.g.*, state, county) and other descriptors.

**NIST:** Draft National Institute of Standards and Technology ("NIST") Special Publication Digital Authentication Guideline.

**Operator:** The term "Operator" means the operator of an Internet Website, online service, online application, or mobile application with actual knowledge that the site, service, or application is used primarily for K-12 school purposes and was designed and marketed for K-12 school purposes. For the purpose of the Data Privacy Agreement, the term "Operator" is replaced by the term "Provider." This term shall encompass the term "Third Party," as it is found in applicable state statutes.

**Persistent Unique Identifiers.** A long-lasting identification for digital objects, which allows for those digital objects to be located even if they are moved or removed.

**Personally Identifiable Information (PII):** The terms "Personally Identifiable Information" or "PII" shall include, but are not limited to, student data, metadata, and user or student-generated content obtained by reason of the use of Provider's software, website, service, or app, including mobile apps,

whether gathered by Provider or provided by LEA or its users, students, or students' parents/guardians. PII includes Indirect Identifiers, which is any information that, either alone or in aggregate, would allow a reasonable person to be able to identify a student to a reasonable certainty. For purposes of this DPA, Personally Identifiable Information shall include the categories of information listed in the definition of Student Data.

**Provider:** For purposes of the Service Agreement, the term "Provider" means provider of digital educational software or Services, including cloud-based services, for the digital storage, management, and retrieval of student records. Within the DPA the term "Provider" includes the term "Third Party" and the term "Operator" as used in applicable state statutes.

**Pupil Records:** Means both of the following: (1) Any information that directly relates to a pupil that is maintained by LEA and (2) any information acquired directly from the pupil through the use of instructional software or applications assigned to the pupil by a teacher or other LEA employee. For the purposes of this Agreement, Pupil Records shall be the same as Educational Records, and Student Personal Information, all of which are deemed Student Data for the purposes of this Agreement.

**Service Agreement:** Refers to the Contract or Purchase Order to which this DPA supplements and modifies.

**School Official:** For the purposes of this Agreement and pursuant to 34 CFR 99.31 (B), a School Official is a contractor that: (1) Performs an institutional service or function for which the agency or institution would otherwise use employees; (2) Is under the direct control of the agency or institution with respect to the use and maintenance of education records; and (3) Is subject to 34 CFR 99.33(a) governing the use and re-disclosure of personally identifiable information from student records.

**Student Data:** Student Data includes any data, whether gathered by Provider or provided by LEA or its users, students, or students' parents/guardians, that is descriptive of the student including, but not limited to, information in the student's educational record or email, first and last name, home address, telephone number, email address, or other information allowing online contact, discipline records, videos, test results, special education data, juvenile dependency records, grades, evaluations, criminal records, medical records, health records, social security numbers, biometric information, disabilities, socioeconomic information, food purchases, political affiliations, religious information text messages, documents, student identities, search activity, photos, voice recordings or geolocation information. Student Data shall constitute Pupil Records for the purposes of this Agreement, and for the purposes of federal laws and regulations. Student Data as specified in Exhibit "B" is confirmed to be collected or processed by the Provider pursuant to the Services. Student Data shall not constitute that information that has been anonymized or de-identified, or anonymous usage data regarding a student's use of Provider's Services.

**Student Generated Content:** The term "Student Generated Content" means materials or content created by a student during and for the purpose of education including, but not limited to, essays, research reports, portfolios, creative writing, music or other audio files, photographs, videos, and account information that enables ongoing ownership of student content.

**Student Personal Information:** “Student Personal Information” means information collected through a school service that personally identifies an individual student or other information collected and maintained about an individual student that is linked to information that identifies an individual student, as identified by Washington Compact Provision 28A.604.010. For purposes of this DPA, Student Personal Information is referred to as Student Data.

**Subscribing LEA:** An LEA that was not party to the original Services Agreement and who accepts the Provider’s General Offer of Privacy Terms.

**Subprocessor:** For the purposes of this Agreement, the term “Subprocessor” (sometimes referred to as the “Subcontractor”) means a party other than LEA or Provider, who Provider uses for data collection, analytics, storage, or other service to operate and/or improve its software, and who has access to Student Data.

**Targeted Advertising:** Targeted advertising means presenting an advertisement to a student where the selection of the advertisement is based on student information, student records or student generated content or inferred over time from the usage of the Provider’s website, online service or mobile application by such student or the retention of such student’s online activities or requests over time.

**Third Party:** The term “Third Party” means a provider of digital educational software or services, including cloud-based services, for the digital storage, management, and retrieval of student records. However, for the purpose of this Agreement, the term “Third Party” when used to indicate the provider of digital educational software or services is replaced by the term “Provider.”



**EXHIBIT "D"**

**DIRECTIVE FOR DISPOSAL OF DATA**

Northshore School District (hereinafter referred to as "LEA") directs [Houghton Mifflin Harcourt Publishing Company (hereinafter referred to as "Provider") to dispose of data obtained by Provider pursuant to the terms of the Service Agreement between LEA and Provider. Unless modified by separate agreement pursuant to a pre-termination data disposal meeting as described in Article IV Section 5(c), the terms of the Disposal are set forth below:

<b><u>Extent of Disposal</u></b>	Disposal shall be:	<input type="checkbox"/> Partial. The categories of data to be disposed of are set forth in an attachment to this Directive.  <input checked="" type="checkbox"/> Complete. Disposal extends to all categories of data.
<b><u>Nature of Disposal</u></b>	Disposal shall be by:	<input checked="" type="checkbox"/> Destruction or deletion of data. (After transfer of data.)  <input checked="" type="checkbox"/> Transfer of data. The data shall be transferred as set forth in an attachment to this Directive. Following confirmation from LEA that data was successfully transferred, Provider shall destroy or delete all applicable data.
<b><u>Timing of Disposal</u></b>	Data shall be disposed of by the following date:	<input checked="" type="checkbox"/> As soon as commercially practicable  <input type="checkbox"/> By (Insert Date) _____

EXHIBIT C

\_\_\_\_\_  
 Authorized Representative of LEA

\_\_\_\_\_  
 Date

\_\_\_\_\_  
 Verification of Disposal of Data by  
 Authorized Representative of Provider

\_\_\_\_\_  
 Date

<p>_____                  (Name of data custodian)</p> <p>_____                  (Date of data disposal)</p> <p>_____                  (Date of data disposal)</p>	<p>Disposal shall be by _____</p>	<p><u>Verification of Disposal</u></p>
<p>_____                  (Name of data custodian)</p> <p>_____                  (Date of data disposal)</p> <p>_____                  (Date of data disposal)</p>	<p>Disposal shall be by _____</p>	<p><u>Verification of Disposal</u></p>
<p>_____                  (Name of data custodian)</p> <p>_____                  (Date of data disposal)</p> <p>_____                  (Date of data disposal)</p>	<p>Disposal shall be by _____</p>	<p><u>Verification of Disposal</u></p>

**EXHIBIT "E"**

**GENERAL OFFER OF PRIVACY TERMS [INSERT ORIGINATING LEA NAME]**

**1. Offer of Terms**

Provider offers the same privacy protections found in this DPA between it and Northshore SD and which is dated \_\_\_\_\_ to any other LEA ("Subscribing LEA") who accepts this General Offer though its signature below. This General Offer shall extend only to privacy protections and Provider's signature shall not necessarily bind Provider to other terms, such as price, term, or schedule of Services, or to any other provision not addressed in this DPA. The Provider and the other LEA may also agree to change the data provided by LEA to the Provider to suit the unique needs of the LEA. The Provider may withdraw the General Offer in the event of: (1) a material change in the applicable privacy statutes; (2) a material change in the Services and products subject listed in the Originating Service Agreement; or three (3) years after the date of Provider's signature to this Form. Provider shall notify ACPE in the event of any withdrawal so that this information may be transmitted to the Alliance's users.

BY: \_\_\_\_\_

Date: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Title/Position: \_\_\_\_\_

**2. Subscribing LEA**

A Subscribing LEA, by signing a separate Service Agreement with Provider, and by its signature below, accepts the General Offer of Privacy Terms. The Subscribing LEA and the Provider shall therefore be bound by the same terms of this DPA.

BY: \_\_\_\_\_

Date: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Title/Position \_\_\_\_\_

**TO ACCEPT THE GENERAL OFFER, THE SUBSCRIBING LEA MUST DELIVER THIS SIGNED EXHIBIT TO THE PERSON AND EMAIL ADDRESS LISTED BELOW**

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Email Address: \_\_\_\_\_



Amendment to  
WASHINGTON STUDENT DATA PRIVACY AGREEMENT  
Version 1.0

Between  
Northshore School District  
and  
Houghton Mifflin Harcourt Publishing Company

On this 28<sup>th</sup> day of August, 2019 Northshore School District and Houghton Mifflin Harcourt Publishing Company agree that the Washington Student Data Privacy Agreement Version 1.0 dated August 28, 2019 pursuant to the contract for HMH Math Expressions 2013 K-5 and Bien dit! Levels 1-3 shall be modified as follows:

**Recitals**

- A. Paragraph 1: **WHEREAS**, the Provider has agreed to provide the Local Education Agency (“LEA”) with certain digital educational services (“Services”) pursuant to a contract related to *HMH Math Expressions 2013 K-5 and Bien dit! 2008 Levels 1-3* (“Service Agreement”); and
- B. Paragraph 6: Strike 6<sup>th</sup> paragraph of Recitals in its entirety.

**C. Article I: PURPOSE AND SCOPE**

- 5. **Purpose of DPA.** The purpose of this DPA is to describe the duties and responsibilities to protect student data transmitted to Provider from the LEA pursuant to the Service Agreement, including compliance with all applicable statutes, including the FERPA, PPRA, COPPA, SUPER and other applicable Washington State laws, all as may be amended from time to time by written notice to Provider or via written amendment signed by both parties. In performing these Services, the Provider shall be considered a School Official with a legitimate educational interest, and performing services otherwise provided by the LEA. With respect to the use and maintenance of Student Data, Provider shall be under the direct control and supervision of the LEA.
- 6. **Nature of Services Provided.** The Provider has agreed to provide the following digital educational products and Services described below and as may be further outlined in Exhibit “A” attached hereto: *Math Expressions 2013 K-5 and Bien dit! 2008 Levels 1-3.*

**D. Article II: DATA OWNERSHIP AND AUTHORIZED ACCESS**

- 1. **Student Data Property of LEA.** All Student Data transmitted to the Provider pursuant to the Service Agreement is and will continue to be the property of and under the control of the LEA. The Provider further acknowledges and agrees that all copies of such Student Data transmitted to the Provider, including any modifications or additions or any portion thereof from any source, are subject to the provisions of this DPA in the same manner as the original Student Data. The Parties agree that as between them, all rights, including all intellectual property rights in and to Student Data contemplated per the Service

Agreement shall remain the exclusive property of the LEA. For the purposes of FERPA, the Provider shall be considered a School Official, under the control and direction of the LEAs as it pertains to the use of Student Data notwithstanding the above. Notwithstanding the foregoing, Provider retains all right, title and interest in and to any and all of Provider's software, materials, tools, forms, documentation, training and implementation materials and intellectual property (collectively, "Provider Materials".) Provider grants to LEA a personal, nonexclusive license to use the Provider materials for its own non-commercial, incidental use as set forth in the end user license agreement accompanying such software and as contemplated herein.

2. **Parent Access.** LEA shall establish reasonable procedures by which a parent, legal guardian, or eligible student may review Student Data in the student's records, and correct erroneous information. Provider shall respond in a timely manner (and no later than 45 days from the date of the request) to the LEA's written request for Student Data in a student's records held by the Provider to view or correct as necessary. In the event that a parent of a student or other individual contacts the Provider to review any of the Student Data accessed pursuant to the Services, the Provider shall refer the parent or individual to the LEA, who will follow the necessary and proper procedures regarding the requested information.
3. **Separate Account.** If Student Generated Content is stored or maintained by the Provider as part of the Services described in Exhibit "A", Provider shall, at the request of the LEA, transfer said Student Generated Content to a separate student account prior termination of the Service Agreement; provided, however, such transfer shall only apply to Student Generated Content that is severable from the Services.
4. **Third Party Request.** Should a Third Party, including law enforcement and government entities, contact Provider with a request for data held by the Provider pursuant to the Services, the Provider shall redirect the Third Party to request the data directly from the LEA unless expressly prohibited by law enforcement and government entities or other lawful order. Provider shall notify the LEA in advance of a compelled disclosure to a Third Party unless expressly prohibited by law enforcement and government entities or other lawful order.
5. **Subprocessors.** Provider shall enter into written agreements with all Subprocessors performing functions pursuant to the Service Agreement, whereby the Subprocessors agree to implement and maintain a reasonably designed security program, as well as applicable state and federal law.

**E. Article III: DUTIES OF LEA**

1. **Privacy Compliance.** LEA shall provide data to Provider for the purposes of the Service Agreement in compliance with FERPA, COPPA, PPRA, SUPER and all other applicable Washington privacy statutes.
3. **Reasonable Precautions.** LEA shall take commercially reasonable precautions to secure usernames, passwords, and any other means of gaining access to its computer systems, Services and hosted data.
4. **Unauthorized Access Notification.** LEA shall notify Provider promptly, and without undue delay, upon discovery of actual unauthorized access. LEA will reasonably assist Provider in any efforts by Provider to investigate and respond to any known actual unauthorized access.

**F. ARTICLE IV. DUTIES OF PROVIDER**

1. **Privacy Compliance.** The Provider shall comply with all applicable state and federal laws and regulations pertaining to data privacy and security, including FERPA, COPPA, PPRA, SUPER and applicable Washington privacy statutes.
5. **Disposal of Data.** Upon written request by LEA, Provider shall dispose of or delete all Student Data obtained under the Service Agreement when it is no longer needed for the purpose for which it was obtained according to a schedule and procedure as the parties may reasonably agree. Disposal shall include (1) the shredding of any hard copies of any Pupil Records; (2) Erasing; or (3) Otherwise modifying the personal information in those records to make it unreadable and/or indecipherable by reasonable human or digital means. Nothing in the Service Agreement authorizes Provider to maintain Student Data obtained under the Service Agreement beyond the time period reasonably needed to complete the disposal. Provider shall provide written notification to LEA when the Student Data has been disposed. The duty to dispose of Student Data shall not extend to data that has been de-identified, pursuant to the other terms of the DPA. The LEA may employ a "Request for Return or Deletion of Student Data" form, a copy of which is attached hereto as Exhibit "D"). Upon receipt of a request from the LEA, the Provider will provide the LEA with any specified portion of the Student Data within ten (10) calendar days of receipt of said request.
  - a. **Partial Disposal During Term of Service Agreement.** Throughout the term of the Service Agreement, LEA may request in writing partial disposal of Student Data obtained under the Service Agreement that is no longer needed. The LEA may also request in writing that specific Student Data be returned to the LEA.
  - b. **Complete Disposal Upon Termination of Service Agreement.** Upon termination of the Service Agreement Provider shall dispose of or delete all Student Data obtained under the Service Agreement upon thirty (30) days' written notice by LEA.
  - c. Strike section IV.5.c. Pre-Termination Data Disposal Meeting in its entirety.

#### G. ARTICLE V. DATA PROVISIONS

3. **Data Security.** The Provider agrees to abide by and maintain commercially reasonable data security measures, consistent with applicable industry standards and, to protect Student Data from unauthorized disclosure or acquisition by an unauthorized person. The general security duties of Provider are set forth below. These measures shall include, but are not limited to:
  - i. **Passwords and Employee Access.** Provider shall exercise commercially reasonable practices to secure usernames, passwords, and any other means of gaining access to the Services or to Student Data, at a level suggested by the applicable standards, as set forth in Article 4.3 of NIST 800-63-3. Provider shall only provide access to Student Data to employees, contractors and/or Subprocessors that are performing the Services. Employees with access to Student Data shall have signed confidentiality agreements regarding Student Data. All employees with access to Student Records shall be subject to criminal background checks in compliance with state and local ordinances.
  - c. **Security Protocols.** Both parties agree to maintain a commercially reasonable security programs consistent with applicable industry standards in the transfer or transmission of any data, including restricting the viewing or access of such data to such parties legally allowed to do so. Provider shall maintain all data obtained or generated pursuant to the Service Agreement in a reasonably secure digital environment and not

copy, reproduce, or transmit data obtained pursuant to the Service Agreement, except as necessary to provide the services hereunder and fulfill the purpose of data requests by LEA.

- e. **Mobile Use of Student Data.** Provider shall require that any and all mobile devices of any type (including, but not limited to, laptops, tablets, and phones), which are used for access to, storage or analysis of Student Data by Provider's employees, contractors and/or Subprocessors shall be protected by industry standard encryption to prevent unauthorized access by third parties. Provider shall also implement a Bring Your Own Device ("BYOD") policy for its own employees, which requires them to use physical and technical safeguards against third party access to the device. Provider shall require that all contractors and/or Subprocessors implement BYOD policies, which provide for substantially the same level of security for mobile devices as are provided by Provider's BYOD policy.
  - f. **Security Technology.** When the Student Data is accessed using a supported web browser, Provider shall employ commercially reasonable and applicable industry standard measures to protect data from unauthorized access. The service security measures shall include server authentication and data encryption. Provider shall host data pursuant to the Service Agreement in an environment using a firewall that is updated according to commercially reasonable, consistent with industry standards.
  - h. **Subprocessors Bound.** Provider shall enter into written agreements whereby Subprocessors agree to secure and protect Student Data in a manner consistent with a commercially reasonable security program. Provider shall periodically conduct or review assessments of Subprocessors to determine the adequacy of their security program.
  - i. **Risk Assessment.** Provider further acknowledges and agrees to conduct ongoing risk assessments and remediate any significant identified security and privacy vulnerabilities in a reasonably timely manner.
  - j. **Compliance Audit.** LEA shall have the right but shall be under no obligation to conduct audit(s) of Provider's records concerning its compliance obligations as set forth in this Article V. Provider shall make such records and other documents reasonably available to LEA upon written request. Such audits shall be made no more than once per year, during normal business hours, and not take longer than one (1) business day. Such audits shall be subject to scheduling according to the mutual convenience of the parties.
2. **Data Breach.** In the event that Student Data is confirmed to have been accessed or obtained by an unauthorized individual, , Provider shall provide notification to LEA promptly and without undue delay upon discovery and within 72 hours following discovery. Provider shall follow the following process:
- a. The data breach notification shall be written in plain language, shall be titled "Notice of Data Breach," and shall present the information described herein under the following headings: "What Happened," "What Information Was Involved," "What We Are Doing," and "For More Information." Additional information may be provided as a supplement to the notice.
  - b. The data breach notification described above in section 2(a) shall include, at a minimum, where available, the following information:
    - ii. A list of the types of Student Data that were subject of a breach.



- iv. Whether the notification was delayed because of a law enforcement investigation and the law enforcement agency determined that notification would impede a criminal investigation.
- h. The data breach notification may also include any of the following:
  - i. Information about what the Provider has done to protect individuals whose information has been breached.
  - ii. Strike section V.2.c.ii in its entirety.
- e. Provider further acknowledges and agrees to have a written incident response plan that reflects commercially reasonable practices consistent with industry standards and is consistent with federal and state law for responding to a data breach, breach of security, privacy incident or unauthorized acquisition or use of Student Data or any portion thereof, including personally identifiable information and agrees to provide LEA, upon request, with a summary of said written incident response plan containing non-proprietary information.
- f. Provider is prohibited from directly contacting parent, legal guardian or eligible student unless expressly requested by LEA.
- g. In the event of a breach originating from LEA's use of the Service, Provider shall reasonably cooperate with LEA to the extent necessary to promptly secure Student Data but shall not bear any financial responsibility in doing so.

#### **H. ARTICLE VI. INDEMNITY**

**1. Indemnity.** Provider shall defend, indemnify and hold harmless the LEA, its officers, directors, employees, agents and assigns (the "Indemnitees") from and against any and all losses, damages, liabilities, deficiencies, actions, judgments, interest, awards, penalties, fines, actual costs or expenses of whatever kind, including reasonable attorneys' fees, the cost of enforcing any right to indemnification hereunder and the cost of pursuing any insurance carrier, arising out of or resulting from any third-party claim against the Indemnitees arising out of or resulting from Provider's negligence, willful misconduct or otherwise as a result of a material breach of this Contract by Provider and which is not also caused by the negligence, willful misconduct or material breach of the Contract by LEA or by third parties beyond the control of Provider, provided that in any event Provider is required to indemnify LEA, Provider shall be entitled to contribution to the extent of the relative fault, if any, of the LEA. Provider's duty to defend and indemnify the LEA includes any and all claims and causes of action whether based in tort, contract, statute, or equity. P

#### **I. ARTICLE VII. GENERAL OFFER OF PRIVACY TERMS**

- 1. Strike section VII in its entirety and replace with "Intentionally deleted".

#### **J. ARTICLE VIII: MISCELLANEOUS**

**2. Termination.** In the event that either party seeks to terminate this DPA, they may do so by mutual written consent so long as the Service Agreement has lapsed or has been terminated. LEA shall have the right to terminate the DPA and Service Agreement in the event of a material breach by Provider, its employees, or agents of the terms of this DPA provided that Provider has been provided notice of such material breach, in writing, and has had a reasonable period of time to remedy such breach prior to such termination.

**3. Effect of Termination Survival.** If the Service Agreement is terminated, then upon written request by LEA, the Provider shall destroy all of LEA's data pursuant to Article V, section 1(b), and Article II, section 3, above.

**5.b.** Strike section VIII.5.b Notification of Acceptance of General Offer of Terms in its entirety.

9. **Authority.** Provider represents that it is authorized to bind to the terms of this DPA, including confidentiality and disposal of Student Data and any portion thereof contained therein, all related or associated institutions, individuals, employees or contractors who may have access to the Student Data and/or any portion thereof, or may own, lease or control equipment or facilities of any kind where the Student Data and portion thereof stored, maintained or used in any way. Provider agrees that any purchaser of the Provider shall also be bound to the Agreement.

**Amend the following sections in Exhibit C:**

**De-Identifiable Information (DII):** De-Identification refers to the process by which the Vendor removes or obscures any Personally Identifiable Information (“PII”) from Student Data in a way that removes or minimizes the risk of disclosure of the identity of the individual and information about them.

**Personally Identifiable Information (PII):** The terms “Personally Identifiable Information” or “PII” shall include, but are not limited to, student data, metadata, and user or student-generated content obtained by reason of the use of Provider’s software, website, service, or app, including mobile apps, whether gathered by Provider or provided by LEA or its users, students, or students’ parents/guardians. PII includes Indirect Identifiers, which is any information that, either alone or in aggregate, would allow a reasonable person to be able to identify a student to a reasonable certainty. For purposes of this DPA, Personally Identifiable Information shall include the categories of information listed in the definition of Student Data but specifically excluding DII.

Strike the following: **Subscribing LEA:** An LEA that was not party to the original Services Agreement and who accepts the Provider’s General Offer of Privacy Terms.

**Exhibit E. General Offer of privacy Terms.** Strike in its entirety and insert “Intentionally Deleted.”

**Exhibit F. Additional Data Security Features.** Insert “Intentionally Blank.”

*[Signature Page Follows]*

IN WITNESS WHEREOF, the parties have executed this Amendment to the Washington Student Data Privacy Agreement as of the last day noted below.

**Name of Provider: Houghton Mifflin Harcourt Publishing Company**

BY: Nicole Genova  
*Signature*

9/5/2019  
*Date*

Nicole Genova  
*Printed Name*

Director, Bids and Contracts  
*Title/Position*

**Name of Local Education Agency**

BY: [Signature]  
*Signature*

8.31.19  
*Date*

Allen Miedema  
*Printed Name*

Director of Technology  
*Title/Position*

